



BLS Technical Interface Specification For eHR Referral Record

Version 1.3.1

Sep 2016

Intellectual Property Rights Notice

© 2016 by the Health Level Seven International and Health Level Seven Hong Kong

All rights are reserved by Health Level Seven International (HL7 Inc) and Health Level Seven Hong Kong (HL7-HK). No part of this material may be reproduced, copied or distributed in any form or by any mean, without the written permission of the publisher.

Health Level Seven® International standards and all work product developed and or released by HL7 acquired through any channel (including through any HL7 Affiliate) are governed by the terms of HL7 policy.

Table of Contents

DOCUMENT SUMMARY	4
AMENDMENT HISTORY	5
1 PURPOSE.....	7
1.1 OBJECTIVE	7
1.2 INTENDED READERS.....	7
2 SCOPE.....	7
3 REFERENCES.....	7
4 DEFINITIONS AND CONVENTIONS	8
4.1 ABBREVIATION.....	8
4.2 NOTATION.....	8
5 ASSUMPTIONS.....	9
6 DELIVERY REQUIREMENTS.....	9
7 DATA UPLOAD REQUIREMENTS.....	10
7.1 TYPES OF FILE UPLOAD MODE	10
7.2 SHARABLE DATASET CODE	10
7.3 COMPLIANCE LEVEL	10
7.4 MESSAGE COMPONENTS.....	11
8 HL7 MESSAGE	12
8.1 FILE NAME	12
8.2 CHARACTER SET AND ENCODING	13
8.3 XML PREDEFINED ENTITIES.....	13
8.4 DATA MAPPING.....	14
8.5 HL7 MESSAGE SAMPLE	23
8.6 XML DIGITAL SIGNATURE ON HL7	25
9 HEALTHCARE RECIPIENT LIST	29
9.1 FILE NAME	29
9.2 FILE CONTENT.....	31
10 STRUCTURED DATA FILE	35
10.1 FILE NAME	35
10.2 FILE CONTENT.....	37
11 IMAGE HANDLING	51
11.1 ASSUMPTIONS	51
11.2 FILE NAME	51
12 FILE NAME SAMPLES.....	53

DOCUMENT SUMMARY

Document Item	Current Value
Document Title	BLS Technical Interface Specification For eHR Referral Record
Creation Date	20 Jul 2012
Date Last Modified	15 Sep 2016
Current Document Issue	Version 1.3.1
Document Description	The document explains the interface specification for bulk upload standards of Referral Record from trusted healthcare providers to eHR system for Hong Kong Special Administrative Region eHR. The document should be read in conjunction with other related documents suggested by the eHR Information Standards Office.
Prepared by	eHR Information Standards Office
Contact Information	eHR@fhb.gov.hk

AMENDMENT HISTORY

Version No.	Summary of Changes	Date
1.0.0	Original version	20 Jul 2012
1.1.0	Enhanced according to the dataset as of Feb 2013 defined by eHR Information Standards Office	11 Mar 2013
1.2.0	<ul style="list-style-type: none"> Updated the definition of data fields: 'Record creation institution name' and 'Record update institution name' Added section 'XML PREDEFINED ENTITIES' Added remarks in section 7.1 Types of File Upload Mode: Update' or 'Delete' transaction type is not accepted in DM mode Updated the checking rule of 'Last Update Datetime' from 'Optional' to 'Mandatory' Aligned the terms used in eHR Sharing System (eHRSS) Bill: <ul style="list-style-type: none"> Participant -> eHR Healthcare Recipient Enroll -> Register Re-join -> Re-register Updated the template of cover page and descriptions in footer Updated the contents in section 'Intellectual Property Rights Notice' 	19 Jun 2014
1.3.0	<ul style="list-style-type: none"> Added six new fields <ul style="list-style-type: none"> Referral document issuance - healthcare specialty identifier Referral document issuance - healthcare specialty description Referral document issuance - healthcare specialty local description Referral document recipient - healthcare specialty identifier Referral document recipient - 	30 Jun 2015

	healthcare specialty description ○ Referral document recipient - healthcare specialty local description	
1.3.1	• Sep 2016 Release	15 Sep 2016

1 PURPOSE

1.1 OBJECTIVE

This document describes the technical interface requirements for implementing Health Level Seven (HL7) version 2.5 standards messaging for transferring Referral in bulk upload standards from trusted healthcare providers to eHR system.

There are TWO data exchange standards for uploading clinical records to eHR system:

- HL7-HK Message Standards
- HL7-HK Localised Bulk Load Standards

HL7-HK Localised Bulk Load Standards will be described in detail in this document. For the HL7-HK Message Standards, please refer to ‘Technical Interface Specification for eHR Record’.

1.2 INTENDED READERS

This document is intended for all parties involving the interface development of eHR in Hong Kong.

2 SCOPE

This reference defines the interface format, interface name for different upload mode and the message of the HL7 version 2.5 messaging. Specifically, this document contains:

- Data File Naming Convention
- Data File Content with delimiter
- Data definition and mapping

This document is referring to the health data defined in the eHR sharable dataset domain Referral mentioned in **eHR Content Standards Guidebook** in eHR Office website. It provides interpretation and guidance to which HL7 trigger event and data elements are required for interfacing to eHR system.

For details of scenarios, please refer to Data Requirement Specification for eHR Referral Record.

3 REFERENCES

- Data Interface Requirement Document
 - Data Requirement Specification for eHR Referral Record
 - Communication Protocol Specification
- eHR Information Standards Document
 - eHR Content Standards Guidebook
 - eHR Data Interoperability Standards
 - eHR Contents
 - eHR Codex

4 DEFINITIONS AND CONVENTIONS

4.1 ABBREVIATION

Term	Description
CDR	Clinical Data Repository
eHR	eHealth Record
eHR ISO	Electronic Health Record – Information Standards Office
EMR	Electronic Medical Record
REF	Referral
HCP	Healthcare Provider
HL7	Health Level Seven
ORU	HL7 message type of “Unsolicited Observation Message”
HCR	eHR Healthcare Recipient

4.2 NOTATION

Value	Description
“quoted”	Fixed Value
#	HL7 Mandatory Field
N/A	Not Applicable
S0 - S99	Scenario numbering
RP/#	Repeatable Indicator [Y:Yes N: No] of HL7 element
TBL#	HL7 Table Reference Number
[]	Optional
{ }	Repeatable
YYYY	Year
MM	Month
DD	Day
hh	Hour (24-Hour)
mm	Minute
ss	Second
.sss	Millisecond

5 ASSUMPTIONS

- HCP is responsible for ensuring the integrity, accuracy and completeness of structure data when sending it to eHR.
- It is recommended that HCP should send the updated clinical record to eHR as soon as possible when there are any changes or new records of the eHR Healthcare Recipient (HCR).
- To ensure the integrity of the Referral record, the complete set of structured data should be sent for any amendment.

6 DELIVERY REQUIREMENTS

- HL7 version 2.5 message standards in XML format and data files (HCR list file and structured data file) will be implemented for delivering Referral event messages defined by eHR.
- The sharable dataset domain Referral supports eHR Data Compliance Level 1 only. Before sending clinical record to eHR, HCP has to register which data compliance levels she can comply to.
- A complete set of updated Referral data with an unique record key of the record is expected to be uploaded to eHR. eHR will use the HCP unique record key for subsequence data amendments in eHR repository.
- HCP must make sure the data submitted to eHR is complied with the data compliance levels she declared in the message. The detail definition of the Data Compliance Level is stated in eHR Content Standards Guidebook posted in eHR Office website.

7 DATA UPLOAD REQUIREMENTS

7.1 TYPES OF FILE UPLOAD MODE

There are two types of file upload mode: incremental mode and materialisation mode:

1. **Incremental mode** is the format for HCP to upload sharable data in ONE batch.
2. **Materialisation mode** is the format for HCP to upload a HCR's specific sharable dataset that exists in EMR, e.g. new registered HCR and re-registered HCR.

The following table shows the files required for different upload mode and its schedule:

	HCR List File	Data File	Schedule
Incremental mode	Required	Required	Within agreed period
Materialisation Mode	Required	Required	Within agreed period

Remarks:

For Materialisation Mode, 'Update' and 'Delete' transaction types are not accepted. If 'Update' or 'Delete' transaction type is uploaded using materialisation mode, the record will be rejected by eHR.

7.2 SHARABLE DATASET CODE

Sharable dataset code is a standardised short term to distinguish the sharable dataset. Please refer to the Interoperability Guide for details in eHR Office website.

For Referral Record, the sharable dataset code is “**REF**”.

7.3 COMPLIANCE LEVEL

eHR partner's applications must be certified for three levels of inter-operability: data inter-operability, security compliance and system inter-operability. Data inter-operability will focus on the EMR system's capability to send and receive messages in the defined standard.

A partner's systems will be certified as a compliance level, according to the message structure, format, content and coding validity for the type of message. Only the certified types of interfaces of partner's systems are permitted for on-going information exchange with the eHR Core.

The general definition of data compliance level is explained in Content Guidebook in eHR Office website.

7.4MESSAGE COMPONENTS

There are four main data file types used to carry the clinical information of ‘Referral’ domain:

File Type	Usage
HL7 Message (ORU^R01)	It serves as delivery list which records the list of file names of ‘HCR list’, ‘Structure Data File’ and ‘Image File’.
HCR list	It contains the identity of those HCRs whose clinical data records are updated and already included in the ‘Structure Data File’.
Structure Data File	It contains the eHR required data fields defined in the ‘Data Requirement Specification for eHR Referral Record’. The data mapping format must follow the requirements described in this document.
Image File (if applicable)	Image file will be sent to eHR after the structured data. It is the Referral record report in Portable Document Format (PDF).

The details of the above file types will be further explained in subsequent sections.

8 HL7 MESSAGE

HL7 message 'ORU^R01' will be applied in exchanging of eHR clinical records. In the segment of OBX of 'ORU^R01', OBX.4 in HL7 message is used to indicate the file upload mode, whether it is in incremental and materialisation.

- The major components are used to carry the bulk clinical information when exchanging data in HL7 v2.5 standard. The components are:

- HL7 version 2.5 ORU – Unsolicited Observation Message (Event R01):

ORU^R01 event includes 3 mandatory segments

- MSH – Message Header Segment
- OBR – Observation Request Segment
- OBX – Observation related to OBRs

- The file upload mode will be assigned to the fourth field of OBX. For the <OBX.4> tag, the fields can either be “BL” and “BL-M”, which represents whether it is in incremental or materialisation. For the data mapping of OBX in HL7 message, please refer to Section 8.4.3 - OBX - Observation/Result Segment.

- The batch file name will be assigned to the <OBX.5> tag. The detail will be described in following section.

- XML digital signature:

In order to ensure the integrity, reputation and authenticity of the message exchange, a XML digital signature is required to digitally sign the whole HL7 document. The eHR system will not accept messages that are not digitally signed.

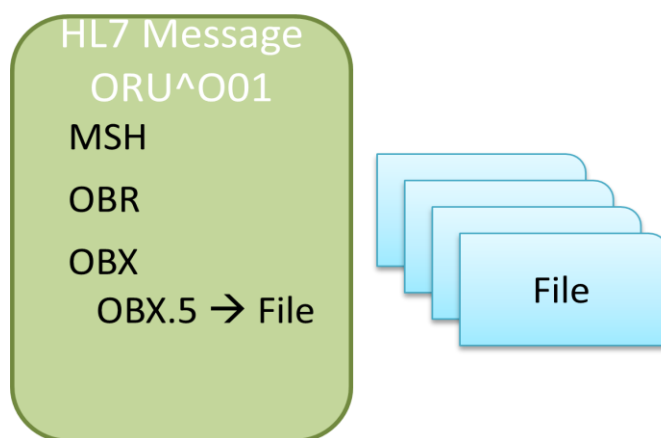


Figure 1 describes the overview structure of BLS in HL7 standards. (Please refer to HL7 official website for HL7 standards details.)

8.1 FILE NAME

The naming convention of the file which is carrying the HL7 message is specified as below:

Format

With Sending Location Code,

<HCP ID>.<Sending Location code>.<Record Type>.HL7.<Message Control ID>

Example

e.g. 8088450656.BRANCHA.REF.HL7.20110701230000

Naming Convention

1. The file name should be in capital letters.
2. The value of each file name component should not contain dot “.”
3. Message Control ID refers to the value MSH.10.
4. If the *<Sending Location code>* cannot be provided, its value can be set as same as *<HCP ID>*.
5. The value of the *<Sending Location code>* can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-_]

8.2 CHARACTER SET AND ENCODING

A Unicode Transformation Format (UTF) is an algorithmic mapping from every Unicode code point to a unique byte sequence. Among the several UTF scheme, UTF-8 is the most common Unicode encoding used and it has become the main storage encoding on most Unix-like operating systems since it is a relatively easy replacement of traditional extended ASCII character sets.

Therefore, UTF-8 will be used in eHR Clinical Data Sharing data exchange. HCP is required to ensure the file that sent to eHR should use UTF-8 encoding.

8.3 XML PREDEFINED ENTITIES

Extensible Markup Language (XML) is adopted in eHR Clinical Data Sharing data exchange using HL7 messages. The XML specification defines five “predefined entities” representing special characters, and requires that all XML processors honor them. To render the character, the format *&name;* must be used. For example, *&*; renders as the character & . The table below lists the 5 predefined entities in XML:

Name	Character	Entity Reference	Description
gt	>	>	Greater than
lt	<	<	Less than
amp	&	&	Ampersand
apos	‘	'	Apostrophe
quot	“	"	Quotation mark

The prefix of namespace in XML in HL7 message is not expected.

8.4 DATA MAPPING

8.4.1 MSH - Message Header Segment

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
#<MSH.1>	1	ST			Field Separator	" "	<ul style="list-style-type: none"> Fixed value
#<MSH.2>	4	ST			Encoding Characters	"^~\&"	<ul style="list-style-type: none"> Fixed value
<MSH.3> <HD.1>	227	HD		0361	Sending Application Namespace ID	System Version	HCP's system name and version for data exchange
<MSH.4> <HD.1>	227	HD		0362	Sending Facility Namespace ID	Healthcare Provider Identifier	A unique identifier assigned by eHR Healthcare Provider Index to each healthcare institution for participation in eHR Sharing System
<MSH.5> <HD.1>	227	HD		0361	Receiving Application Namespace ID	"EIF"	<ul style="list-style-type: none"> Fixed value
<MSH.6> <HD.1>	227	HD		0362	Receiving Facility Namespace ID	"eHR"	<ul style="list-style-type: none"> Fixed value
#<MSH.7> <TS.1>	26	TS DTM			Date/Time Of Message Time	Message generation datetime	In format: YYYYMMDDhhmmss
<MSH.8>	40	ST			Security	Data Compliance Level "1"	<ul style="list-style-type: none"> Fixed value 1: Level 1

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
#<MSH.9> <MSG.1> <MSG.2> <MSG.3>	15	MSG			Message Type Message Type Trigger Event Message Structure	“ORU” “R01” “ORU_R01”	<ul style="list-style-type: none"> Fixed value Fixed value Fixed value
#<MSH.10>	20	ST			Message Control ID	Unique message identifier in sending application	Values can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-_]
#<MSH.11> <PT.1>	3	PT			Processing ID Processing ID	“P”	<ul style="list-style-type: none"> Fixed value P: Production
#<MSH.12> <VID.1>	60	VID			Version ID Version ID	“2.5”	<ul style="list-style-type: none"> Fixed value
<MSH.13>	15	NM			Sequence Number	NOT USE	
<MSH.14>	180	ST			Continuation Pointer	NOT USE	
<MSH.15>	2	ID		0155	Accept Acknowledgment Type	“NE”	<ul style="list-style-type: none"> Fixed value NE: Never
<MSH.16>	2	ID		0155	Application Acknowledgment Type	NOT USE	
<MSH.17>	3	ID		0399	Country Code	NOT USE	
<MSH.18>	16	ID	Y	0211	Character Set	NOT USE	

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
<MSH.19>	250	CE			Principal Language Of Message	NOT USE	
<MSH.20>	20	ID		0356	Alternate Character Set Handling Scheme	NOT USE	
<MSH.21>	427	EI	Y		Message Profile Identity	NOT USE	

8.4.2 OBR - Observation Request Segment

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
<OBR.1>	4	SI			Set ID – OBR	NOT USE	
<OBR.2>	22	EI			Placer Order Number	NOT USE	
<OBR.3>	22	EI			Filler Order Number	NOT USE	
#<OBR.4> <CE.1>	250	CE			Universal Service Identifier Identifier	“REF”	<ul style="list-style-type: none"> Fixed value Sharable Dataset Code (eHR Record Type)
<OBR.5>	2	ID			Priority – OBR	NOT USE	
<OBR.6>	26	TS			Requested Date/Time	NOT USE	
<OBR.7>	26	TS			Observation Date/Time #	NOT USE	
<OBR.8>	26	TS			Observation End Date/Time #	NOT USE	

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
<OBR.9>	20	CQ			Collection Volume *	NOT USE	
<OBR.10>	250	XCN	Y		Collector Identifier *	NOT USE	
<OBR.11>	1	ID		0065	Specimen Action Code *	NOT USE	
<OBR.12>	250	CE			Danger Code	NOT USE	
<OBR.13>	300	ST			Relevant Clinical Information	NOT USE	
<OBR.14>	26	TS			Specimen Received Date/Time *	NOT USE	
<OBR.15>	300	SPS			Specimen Source	NOT USE	
<OBR.16>	250	XCN	Y		Ordering Provider	NOT USE	
<OBR.17>	250	XTN	Y/2		Order Callback Phone	NOT USE	
<OBR.18>	60	ST			Placer Field 1	NOT USE	
<OBR.19>	60	ST			Placer Field 2	NOT USE	
<OBR.20>	60	ST			Filler Field 1 +	NOT USE	
<OBR.21>	60	ST			Filler Field 2 +	NOT USE	
<OBR.22>	26	TS			Results Rpt/Status Chng –	NOT USE	
<OBR.23>	40	MOC			Charge to Practice +	NOT USE	

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
<OBR.24>	10	ID		0074	Diagnostic Serv Sect ID	NOT USE	
<OBR.25>	1	ID		0123	Result Status +	NOT USE	
<OBR.26>	400	PRL			Parent Result +	NOT USE	
<OBR.27>	200	TQ	Y		Quantity/Timing	NOT USE	
<OBR.28>	250	XCN	Y		Result Copies To	NOT USE	
<OBR.29>	200	EIP			Parent	NOT USE	
<OBR.30>	20	ID		0124	Transportation Mode	NOT USE	
<OBR.31>	250	CE	Y		Reason for Study	NOT USE	
<OBR.32>	200	NDL			Principal Result Interpreter +	NOT USE	
<OBR.33>	200	NDL	Y		Assistant Result Interpreter +	NOT USE	
<OBR.34>	200	NDL	Y		Technician +	NOT USE	
<OBR.35>	200	NDL	Y		Transcriptionist +	NOT USE	
<OBR.36>	26	TS			Scheduled Date/Time +	NOT USE	
<OBR.37>	4	NM			Number of Sample Containers *	NOT USE	
<OBR.38>	250	CE	Y		Transport Logistics of Collected Sample *	NOT USE	

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
<OBR.39>	250	CE	Y		Collector's Comment *	NOT USE	
<OBR.40>	250	CE			Transport Arrangement Responsibility	NOT USE	
<OBR.41>	30	ID		0224	Transport Arranged	NOT USE	
<OBR.42>	1	ID		0225	Escort Required	NOT USE	
<OBR.43>	250	CE	Y		Planned Patient Transport Comment	NOT USE	
<OBR.44>	250	CE		0088	Procedure Code	NOT USE	
<OBR.45>	250	CE	Y	0340	Procedure Code Modifier	NOT USE	
<OBR.46>	250	CE	Y	0411	Placer Supplemental Service Information	NOT USE	
<OBR.47>	250	CE	Y	0411	Filler Supplemental Service Information	NOT USE	
<OBR.48>	250	CWE		0476	Medically Necessary Duplicate Procedure Reason	NOT USE	
<OBR.49>	2	IS		0507	Result Handling	NOT USE	

8.4.3 OBX - Observation/Result Segment

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
<OBX.1>	4	SI			Set ID – OBX	NOT USE	
<OBX.2>	2	ID		0125	Value Type	“RP”	<ul style="list-style-type: none"> Fixed value RP: Reference Pointer
#<OBX.3> <CE.1>	250	CE			Observation Identifier Identifier	“REF”	<ul style="list-style-type: none"> Fixed value Sharable Dataset Code (eHR Record Type)
<OBX.4>	20	ST			Observation Sub-Id	e.g. BL	<p>Possible value of data upload format: BL: Bulk load; BL-M: Bulk load for materialisation</p> <p><i>Remarks: Materialisation</i> - HCP upload a HCR’s specific sharable dataset that exists in EMR.</p>
<OBX.5> <RP.1>	99999	Varies	Y		Observation Value Data	<p>Filename of the batch file:checksum</p> <p>(Please refer to Section 12 – File Name Samples for examples of filename)</p>	<p>Colon “:” is used as field delimiter.</p> <p>Filename of three types of files will be included: - HCR list file - Structured data file - Image (if applicable)</p>

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
							<p>For filename of the batch file, please see the file format in the related section. Repeat OBX.5 if more than one batch file.</p> <p>For data file checksum value, the checksum algorithm will use SHA-256.</p> <p>For SHA standard document, please refer to “Secure Hash Standard (SHS) of Federal Information Processing Standards Publication” provided by Information Technology Laboratory of National Institute of Standards and Technology in Gaithersburg (MD 20899-8900)”</p>
<OBX.6>	250	CE			Units	NOT USE	
<OBX.7>	60	ST			References Range	NOT USE	
<OBX.8>	5	IS	Y	0078	Abnormal Flags	NOT USE	
<OBX.9>	5	NM			Probability	NOT USE	

Tag	Len	HL7 Data Type	RP/#	TBL#	Element Name	Fields	Remarks
<OBX.10>	2	ID	Y	0080	Nature of Abnormal Test	NOT USE	
#<OBX.11>	1	ID		0085	Observation Result Status	“F”	<ul style="list-style-type: none"> Fixed value F: Final Result
<OBX.12>	26	TS			Effective Date of Reference Range	NOT USE	
<OBX.13>	20	ST			User Defined Access Checks	NOT USE	
<OBX.14>	26	TS			Date/Time of the Observation	NOT USE	
<OBX.15>	250	CE			Producer's ID	NOT USE	
<OBX.16>	250	XCN	Y		Responsible Observer	NOT USE	
<OBX.17>	250	CE	Y		Observation Method	NOT USE	
<OBX.18>	22	EI	Y		Equipment Instance Identifier	NOT USE	
<OBX.19>	26	TS			Date/Time of the Analysis	NOT USE	

8.5 HL7 MESSAGE SAMPLE

The following HL7 sample in XML format shows data materialisation case :

```
<?xml version="1.0" encoding="UTF-8"?>
<ORU_R01 xsi:schemaLocation="urn:hl7-org:v2xml ORU_R01.xsd"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns="urn:hl7-
org:v2xml">
  <MSH>
    <MSH.1>|</MSH.1>
    <MSH.2>^~\&amp;</MSH.2>
    <MSH.3>
      <HD.1>CMS 3.0</HD.1>
    </MSH.3>
    <MSH.4>
      <HD.1>8088450656</HD.1>
    </MSH.4>
    <MSH.5>
      <HD.1>EIF</HD.1>
    </MSH.5>
    <MSH.6>
      <HD.1>eHR</HD.1>
    </MSH.6>
    <MSH.7>
      <TS.1>20120301230001</TS.1>
    </MSH.7>
    <MSH.8>1</MSH.8>
    <MSH.9>
      <MSG.1>ORU</MSG.1>
      <MSG.2>R01</MSG.2>
      <MSG.3>ORU_R01</MSG.3>
    </MSH.9>
    <MSH.10>20120301230001</MSH.10>
    <MSH.11>
      <PT.1>P</PT.1>
    </MSH.11>
    <MSH.12>
      <VID.1>2.5</VID.1>
    </MSH.12>
    <MSH.15>NE</MSH.15>
  </MSH>
```

```
<ORU_R01.PATIENT_RESULT>
  <ORU_R01.ORDER_OBSERVATION>
    <OBR>
      <OBR.4>
        <CE.1>REF</CE.1>
      </OBR.4>
    </OBR>
    <ORU_R01.OBSERVATION>
      <OBX>
        <OBX.2>RP</OBX.2>
        <OBX.3>
          <CE.1>REF</CE.1>
        </OBX.3>
        <OBX.4>BL-M</OBX.4>
        <OBX.5>
          <RP.1>
            8088450656.BRANCHA.REF.DF.1.20110101020600:332be2c46e1a0a632610e
            8bf63bde57851374c583aaf84b3769d7eb2d67f8bcc2b0c356c4972aa49c444860c3e0
            0104b50d24907b86a6e3c6927e61bd3ecfc24
          </RP.1>
        </OBX.5>
        <OBX.5>
          <RP.1>
            8088450656.BRANCHA.REF.PL.1.20110101020600:dba2a0463da72f264677b
            a6e83fb8eecdce1454e17cea6ec5dcf41a11f1a94e28bbbabbbb11e3441de0da7ea741c
            b175527fff41558062c9f0691c7c463a186b6
          </RP.1>
        </OBX.5>
        <OBX.5>
          <RP.1>
            8088450656.BRANCHA.REF.20110101020600.PWH019999.123.pdf.EHR20100
            0001:241ffb428585a4a0db9617c63fd8cba8b7e430c88a4c2962c3b8c5d526de7d285
            9017f3e45d8827dee775b4266f58a8bb040036
          </RP.1>
        </OBX.5>
        <OBX.11>F</OBX.11>
      </OBX>
    </ORU_R01.OBSERVATION>
  </ORU_R01.ORDER_OBSERVATION>
</ORU_R01.PATIENT_RESULT>
</ORU_R01>
```


8.6 XML DIGITAL SIGNATURE ON HL7

XML digital signature is required the components of XML digital signature are listed below:

No.	XML Tag	XPath	Attribute	Element Name	Mandatory (M) / Optional(O)	Remarks
1	Signature	Signature		Signature	M	Sign the HL7 message (Please refer to “XML Signature Syntax and Processing (Second Edition)” provided by W3C Recommendation 10 June 2008)
			@xmlns		M	Fixed Value: “http://www.w3.org/2000/09/xmldsig#”
2	SignedInfo	Signature/SignedInfo		Signed Information	M	
2.1	CanonicalizationMethod	Signature/SignedInfo/CanonicalizationMethod		Canonicalization Method	M	
			@Algorithm	Algorithm	M	Fixed Value: “http://www.w3.org/TR/2001/REC-xml-c14n-20010315”
2.2	SignatureMethod	Signature/SignedInfo/SignatureMethod		Signature Method	M	
			@Algorithm	Algorithm	M	Fixed Value: “http://www.w3.org/2001/04/xmldsig-more#rsa-sha256”

No.	XML Tag	XPath	Attribute	Element Name	Mandatory (M) / Optional(O)	Remarks
2.3	Reference	Signature/SignedInfo/Reference		Reference element for the whole HL7 document	M	
			@ URI	URI	M	Fixed Value: "" (Empty String). Apply the signature to the whole HL7 document
2.3.1	Transforms	Signature/SignedInfo/Reference/Transforms		Transforms	M	
2.3.1.1	Transform	Signature/SignedInfo/Reference/Transforms/Transform		Transform	M	
			@Algorithm	Algorithm	M	Fixed Value: "http://www.w3.org/2000/09/xmldsig#enveloped-signature"
2.3.2	DigestMethod	Signature/SignedInfo/Reference/DigestMethod			M	
			@Algorithm	Algorithm	M	Fixed Value: "http://www.w3.org/2001/04/xmlenc#sha256"
2.3.3	DigestValue	Signature/SignedInfo/Reference/DigestValue		Digest Value	M	Message's Digest Value

No.	XML Tag	XPath	Attribute	Element Name	Mandatory (M) / Optional(O)	Remarks
3	SignatureValue	Signature/SignatureValue		Signature value	M	Canonicalize and then calculate the SignatureValue over SignedInfo based on algorithms specified in SignedInfo as specified in XML Signature [XMLDSIG]
4	KeyInfo	Signature/KeyInfo		Key Info	M	
4.1	X509Data	Signature/KeyInfo/X509Data		X509 Data	M	
4.1.1	X509SubjectName	Signature/KeyInfo/X509Data/X509SubjectName		X509 Subject Name	M	Distinguished name (DN) that contains the information for both the owner or requestor of the certificate (called the Subject DN) and the CA that issues the certificate (called the Issuer DN)
4.1.2	X509Certificate	Signature/KeyInfo/X509Data/X509Certificate		Certificate	M	base64-encoded [X509v3] certificate (Please refer to the content of X509Data in "XML Signature Syntax and Processing (Second Edition)" provided by W3C Recommendation 10 June 2008)

Example

```
<?xml version="1.0" encoding="UTF-8"?>
<ORU_R01 xmlns="..." xmlns:xsi="..." xsi:schemaLocation="...">
  <MSH>...</MSH>
  <ORU_R01.PATIENT_RESULT>
    <ORU_R01.ORDER_OBSERVATION>
      <OBR>... </OBR>
      <ORU_R01.OBSERVATION>
        <OBX>... </OBX>
      </ORU_R01.OBSERVATION>
    </ORU_R01.ORDER_OBSERVATION>
  </ORU_R01.PATIENT_RESULT>
  <Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
    <SignedInfo>
      <CanonicalizationMethod Algorithm="http://www.w3.org/TR/2001/REC-xml-c14n-20010315"/>
      <SignatureMethod Algorithm="http://www.w3.org/2001/04/xmldsig-more#rsa-sha256"/>
      <Reference URI="">
        <Transforms>
          <Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature"/>
        </Transforms>
        <DigestMethod Algorithm="http://www.w3.org/2001/04/xmldsig-more#sha256"/>
        <DigestValue>xxxxxx</DigestValue>
      </Reference>
    </SignedInfo>
    <SignatureValue>xxxxxxxxxx</SignatureValue>
    <KeyInfo>
      <X509Data>
        <X509SubjectName>xxxxxx</X509SubjectName>
        <X509Certificate>xxxxxxxxxx</X509Certificate>
      </X509Data>
    </KeyInfo>
  </Signature>
</ORU_R01>
```

**XML Digital
Signature**

9 HEALTHCARE RECIPIENT LIST

When a HCP uploads the sharable data to eHR, it is assumed that a daily HCR identity list will be sent **for each sharable dataset** in advance. The HCR identity list consists of the HCR identity of those HCRs who have clinical data records changes.

There are four major keys: Document ID with Document Type, English Name, Sex and Date of Birth of the HCR which are mandatory. They are used to refer to information that can be uniquely identified as an individual. Therefore, four major keys are needed to verify and match the eHR number which is assigned to HCR when one registered to eHR program during the data upload and verification processing.

A HCR list file is required which contains the four major keys and eHR number for every data batch upload. To standardise the HCR list, the file name, content and trailer should be strictly controlled. Besides, the size of the file should not exceed to the maximum upload file size according to eHR Localised Bulk Load Standard Specification. The data file should be split into smaller files within the file size limit and Sequence ID could be used to specify each smaller file.

9.1 FILE NAME

The naming convention of the file which is carrying the HCR List is specified as below:

Format

With Sending Location Code,

<HCP ID>.<Sending location code>.<Record Type>.PL.<sequence ID>.<Generation Date>

Example

e.g. 8088450656.BRANCHA.REF.PL.1.20110702084530

Naming Convention

1. The file name should be in capital letters.
2. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).
3. The value of each file name component should not contain dot “.”
4. If the **<Sending Location code>** cannot be provided, its value can be set as same as **<HCP ID>**.
5. The value of the **<Sending Location code>** can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-_]

The following table shows the components of file name and the respective definitions:

Sequence	Component	Definition	Maximum Length	Remarks
1	HCP ID	A unique identifier assigned by eHR Healthcare Provider Index to each healthcare institution for participation in eHR Sharing System	string(10)	
2	Sending Location Code	An code to indicate the location where the data is sending from. The format should be agreed before the interface is on production.	string(20)	
3	Record Type	A standardised short term to distinguish the sharable dataset	string(20)	e.g. REF stands for Referral Record.
4	PL	HCR List	string(2)	Fixed value
5	Sequence ID	Sequence of the file generated in the same generation date	string(3)	<ul style="list-style-type: none">In format: Numeric: 1-999
6	Generation Date	File generation date	string(14)	<ul style="list-style-type: none">In format: YYYYMMDDhhmmssFixed length

9.2 FILE CONTENT

Format

```
<eHR Number>|<Sex>|<Date of Birth>|<HKIC Number>|<Type of Identity
Document>|<Identity Document Number>|<English Surname>|<English
Given Name>|<English Full Name>\CR\
<eHR Number>|<Sex>|<Date of Birth>|<HKIC Number>|<Type of Identity
Document>|<Identity Document Number>|<English Surname>|<English
Given Name>|<English Full Name>\CR\
EOF.<#Total Number of HCRs>.<File Name of HCR List>
```

Naming Convention

For file content,

1. Each record should be on a new line. \CR\ should be used as record terminator.
2. Pipe line “|” should be used as field delimiter. If data content contains pipe line, pipe line should be replaced by \F\ before sending to eHR.
3. A trailer is required at the bottom of each data file. The convention is explained in the next paragraph.

For file trailer,

1. A trailer is required at the bottom of each file.
2. Dot “.” should be used as field delimiter.
3. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).

The following table shows the components of file content and trailer and the respective definitions:

Sequence	Data Field	Definition	Maximum Length	Remarks
File Content				
1	eHR number	A unique eHR healthcare recipient identifier assigned to each patient for each participation in the Hong Kong eHR	string(12)	Fixed length
2	Sex	[eHR value] of the "Sex" code table. It is used to identify the sex of the patient	string(1)	Refer to the code set of "Sex" in eHR Office website

Sequence	Data Field	Definition	Maximum Length	Remarks
3	Date of birth	The patient's date of birth	string(23)	<p>In format: YYYY-MM-DD hh:mm:ss.sss</p> <p>Milliseconds should be in “.000” format</p> <p>e.g. 2010-01-31 00:00:00.000</p> <p>(Birth time is not required.)</p> <p>Remarks:</p> <ul style="list-style-type: none"> If date is exact to 'Year' (e.g. 2010), the unknown month and day is suggested to be set as '01-01' E.g. 2010-01-01 0:00:00.000 If date is exact to 'Month' (e.g. 2010- 12), the unknown day is suggested to be set as '01' E.g. 2010-12-01 0:00:00.000
4	HKIC number	The Hong Kong Identity Card number or the Registration Number printed on Hong Kong Birth Certificate (post-1981) issued by HKSAR Immigration Department, include the check digit	string(12)	
5	Type of identity document	[eHR value] of the "Type of identity document" code table. It is the type of patient's identity / travel document presented during registration / enrolment / update of the patient's identity / demographic data	string(6)	Refer to the code set of "Type of identity document" in eHR Office website
6	Identity document number	The document number of the [Type of identity document - patient]	string(30)	

Sequence	Data Field	Definition	Maximum Length	Remarks
7	English surname	Patient's surname in English	string(40)	Surname should be in uppercase letters. Optional if [English full name is not blank Mandatory if [English full name] is blank
8	English given name	Patient's given name in English	string(40)	Given name should be in uppercase letters. Optional if [English Full Name] is not blank Mandatory if [English Full Name] is blank
9	English full name	Patient's full name in English	string(100)	Full name should be in uppercase letters. In format of : [Surname]+[,]+ 1 white space +[Given Name] e.g. CHAN, TAI MAN Optional if [English surname] and [English given name] are not blank Mandatory if [English surname] and [English given name] are blank <i>* If patient has either English surname or given name stored in local EMR system, full name should be filled.</i>
File Trailer				
1	EOF	File trailer indicator	string(3)	Fixed value
2	Total number of HCRs	Total number of records in this batch being processed excluding the trailer	string(10)	Numeric value: 0-9999999999
3	File name of HCR list	File name of HCR list	string(83)	Please refer to Section 9.1 - File Name for naming convention of HCR list file name

Example

The following is a sample file of HCR list:

```
201000000001|M|2009-01-01 00:00:00.000|A1234563|ID|A1234563|CHAN|TAI  
MAN|CHAN, TAI MAN\CR\  
201000000002|F|2001-01-01 00:00:00.000|A7654321|OC|10234567890|LEE|  
HO|LEE, HO\CR\  
EOF.2.8088450656.BRANCHA.REF.PL.1.20110702084530
```

10 STRUCTURED DATA FILE

Data loading will use a standardised file naming convention, data content and the trailer. With the standardised format, it takes less time and is easier to interpret the data.

For details of the implementation requirements for transferring clinical records, please refer the 'Communication Protocol Specification'.

10.1 FILE NAME

The naming convention of the file which is carrying the Structured Data File is specified as below:

Format

With Sending Location Code,

*<HCP ID>.<Sending Location code>.<Record Type>.DF.<sequence ID>.
<Generation Date>*

Example

e.g. 8088450656.BRANCHA.REF.DF.1.20110702084530

Naming Convention

1. The file name should be in capital letters.
2. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).
3. The value of each file name component should not contain dot “.”
4. If the *<Sending Location code>* cannot be provided, its value can be set as same as *<HCP ID>*.
5. The value of the *<Sending Location code>* can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-_]

The following table shows the components of file name and the respective definitions:

Sequence	Component	Definition	Maximum Length	Remarks
1	HCP ID	A unique identifier assigned by eHR Healthcare Provider Index to each healthcare institution for participation in eHR Sharing System	string(10)	
2	Sending Location Code	An code to indicate the location where the data is sending from. The format should be agreed before the interface is on production.	string(20)	
3	Record Type	A standardised short term to distinguish the sharable dataset	string(20)	e.g. REF stands for Referral Record.
4	DF	Data File	string(2)	Fixed value
5	Sequence ID	Sequence of the file generated in the same generation date	string(3)	<ul style="list-style-type: none">• In format: Numeric: 1-999
6	Generation Date	File generation date	string(14)	<ul style="list-style-type: none">• In format: YYYYMMDDhhmmss• Fixed length

10.2 FILE CONTENT

Format

```
<eHR Number>|<Transaction Datetime>|<Transaction Type>|<Last Update  
Datetime>|<Record Key>|field 1|field 2|field 3|...|field n\CR\  
<eHR Number>|<Transaction Datetime>|<Transaction Type>|<Last Update  
Datetime>|<Record Key>|field 1|field 2|field 3|...|field n\CR\  
EOF.<#Total Number of Records>.<File Name of Data File>
```

Naming Convention

For file content,

1. Each record should be on a new line. \CR\ should be used as record terminator.
2. Pipe line “|” should be used as field delimiter. If data content contains pipe line, pipe line should be replaced by \F\ before sending to eHR.
3. A trailer is required at the bottom of each data file. The convention is explained in the next paragraph.

For file trailer,

1. A trailer is required at the bottom of each file.
2. Dot “.” should be used as field delimiter.
3. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).

Data Component

The following table shows the components of file content and trailer and the cardinality for compliance level 1:

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
File Content							
1	eHR number	A unique eHR healthcare recipient identifier assigned to each patient for each participation in the Hong Kong eHR	string(12)	Fixed length	M		
2	Transaction datetime	The datetime indicates the transaction sequence	string(23)	In format: YYYY-MM-DD hh:mm:ss.sss e.g. 2010-01-31 16:30:05.005	M		
3	Transaction type	Insert/Update/Delete	string(1)	Possible value: I : Insert operation U : Update operation D : Delete operation <i>Remarks: ‘U’ and ‘D’ are not accepted in materialisation mode.</i>	M		

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
4	Last update datetime	The last update datetime for HCP system	string(23)	In format: YYYY-MM-DD hh:mm:ss.sss e.g. 2010-01-31 16:30:05.005	M		
5	Record key	A unique identifier for each Referral record within HCP	string(50)		M		
6	Record creation datetime	Datetime when the record was created in source system of HCP	string(23)	In format: YYYY-MM-DD hh:mm:ss.sss e.g. 2010-01-31 16:30:05.005	O		N/A
7	Record creation institution identifier	A unique identifier assigned by eHR Healthcare Provider Index to each healthcare institution who created the record	string(10)	Fixed length	O		N/A
8	Record creation institution name	Name of healthcare institution who created the record	string(255)		O		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
9	Record last update datetime	Datetime when the record was last updated in source system of HCP	string(23)	In format: YYYY-MM-DD hh:mm:ss.sss e.g. 2010-01-31 16:30:05.005	O		N/A
10	Record update institution identifier	A unique identifier assigned by eHR Healthcare Provider Index to each healthcare institution who updated the record	string(10)	Fixed length	O		N/A
11	Record update institution name	Name of healthcare institution who updated the record	string(255)		O		N/A
12	Episode number	A unique reference number assigned by the healthcare institution to an episode of care. The episode of care can be of inpatient or outpatient nature	string(20)		O		
13	Attendance institution identifier	A unique identifier assigned by eHR Healthcare Provider Index to each healthcare institution for participant attendance	string(10)	Fixed length	O		

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
14	Referral date	Date when the referral / reply to the referral was issued	string(23)	In format: YYYY-MM-DD hh:mm:ss.sss e.g. 2010-01-31 16:30:05.005	M		N/A
15	Type of referral document code	[eHR value] of the "Type of referral document" code table. Type of referral document is to define whether it is a referral or a reply to a referral	string(10)	Refer to the code set of "Type of referral document" in eHR Office website	O		N/A
16	Type of referral document description	[eHR description] of the "Type of referral document" code table, it should be the corresponding description of the selected [Type of referral document code]. Type of referral document is to define whether it is a referral or a reply to a referral	string(255)	Refer to the code set description of "Type of referral document" in eHR Office website	M if [Type of referral document code] is given N/A if [Type of referral document code] is blank		N/A
17	Type of referral document local description	Local description created by the healthcare provider for the type of referral document. Type of referral document is to define whether it is a referral or a reply to a referral	string(255)		M if [Type of referral document code] is given N/A if [Type of referral document code] is blank		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
18	Referral document reference number	A reference number for the referral / reply record issued by the healthcare institution	string(20)		O		N/A
19	Referral document issuance - healthcare provider identifier	The healthcare provider who issued the referral / reply record. It is the [HCP identifier] in the eHR Healthcare Provider Index	string(10)	Fixed length	O		N/A
20	Referral document issuance - healthcare provider long name	The healthcare provider who issued the referral / reply record. It is the [HCP displayed English long name] or the [HCP displayed Chinese long name] in eHR Healthcare Provider Index. It should be the corresponding description of the selected [HCP identifier]	string(255)		M if [Referral document issuance - healthcare provider identifier] is given N/A if [Referral document issuance - healthcare provider identifier] is blank		N/A
21	Referral document issuance - healthcare provider local name	Local description of the healthcare provider who issued the referral / reply record	string(255)		M		N/A
22	Referral document issuance - healthcare institution identifier	The healthcare institution who issued the referral / reply record. It is the [HCI identifier] in the eHR Healthcare Provider Index	string(10)	Fixed length	O		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
23	Referral document issuance - issued healthcare institution long name	The healthcare institution who issued the referral / reply record. It is the [HCI displayed English long name] or the [HCI displayed Chinese long name] in eHR Healthcare Provider Index. It should be the corresponding description of the selected [HCI identifier]	string(255)		M if [Referral document issuance - healthcare institution identifier] is given N/A if [Referral document issuance - healthcare institution identifier] is blank		N/A
24	Referral document issuance - healthcare institution local name	Local description for the healthcare institution who issued the referral / reply record	string(255)		M		N/A
25	Referral document issuance - healthcare staff identifier	The healthcare staff who issued the referral / reply record. It is the [HCS identifier] in the eHR Healthcare Staff Index	string(10)		O		N/A
26	Referral document issuance - healthcare staff English name	Full English name (with title, where applicable) of the healthcare staff who issued the referral / reply record	string(100)		M if [Referral document issuance - healthcare staff Chinese name] is blank		N/A
27	Referral document issuance - healthcare staff Chinese name	Full Chinese name (with title, where applicable) of the healthcare staff who issued the referral / reply record	string(10)		M if [Referral document issuance - healthcare staff English name] is blank		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
28	Your referral reference number	[Your referral reference number] is used in replying a referral request. It is the reference number of the referral request issued by the referring healthcare provider	string(20)		O if [Type of referral document description] = "Reply Referral" N/A if [Type of referral document description] <> "Reply Referral"		N/A
29	Referral document recipient - healthcare provider identifier	The healthcare provider to whom the referral / reply record is sent. It is the [HCP identifier] in the eHR Healthcare Provider Index	string(10)	Fixed length	O		N/A
30	Referral document recipient - healthcare provider long name	The healthcare provider to whom the referral / reply record is sent. It is the [HCP displayed English long name] or the [HCP displayed Chinese long name] in the eHR Healthcare Provider Index. It should be the corresponding description of the selected [HCP identifier]	string(255)		M if [Referral document recipient - healthcare provider identifier] is given N/A if [Referral document recipient - healthcare provider identifier] is blank		N/A
31	Referral document recipient - healthcare provider local name	Local description of the healthcare provider to whom the referral / reply record is sent	string(255)		O		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
32	Referral document recipient - healthcare institution identifier	The healthcare institution to whom the referral / reply record is sent. It is the [HCI identifier] in the eHR Healthcare Provider Index	string(10)	Fixed length	O		N/A
33	Referral document recipient - healthcare institution long name	The healthcare institution to whom the referral / reply record is sent. It is the [HCI displayed English long name] or the [HCI displayed Chinese long name] in the eHR Healthcare Provider Index. It should be the corresponding description of the selected [HCI identifier]	string(255)		M if [Referral document recipient - healthcare institution identifier] is given N/A if [Referral document recipient - healthcare institution identifier] is blank		N/A
34	Referral document recipient - healthcare institution local name	Local description for the healthcare institution to whom the referral / reply record is sent	string(255)		O		N/A
35	Referral document recipient - healthcare staff identifier	The healthcare staff to whom the referral / reply record was sent. It is the [HCS identifier] in the eHR Healthcare Staff Index	string(10)		O		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
36	Referral document recipient - healthcare staff English name	Full English name (with title, where applicable) of the healthcare staff to whom the referral / reply record is sent	string(100)		O		N/A
37	Referral document recipient - healthcare staff Chinese name	Full Chinese name (with title, where applicable) of the healthcare staff to whom the referral / reply record is sent	string(10)		O		N/A
38	Referral report title	The title of the referral / reply record	string(255)		O		N/A
39	Referral report (Text)	Referral / reply record in text format	string(32767)		O M if [Referral report (PDF)] is blank		N/A
40	File indicator	Indicator of Referral report (PDF) data (0: no Referral report (PDF) data 1: Referral report (PDF) data)	string(1)		M		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
41	File name of Referral report	File name of Referral / reply record in Portable Document Format (PDF)	string(255)	<p>Please refer to Section 11.2 – Image Handling for naming convention of image file name.</p> <p><i>* File name without <Generation Date> of the corresponding structured data file</i></p> <p>In format: <HCP ID>.<Sending Location Code>. <Record Type>. <Record Key>. <Original File Name>.<File Extension>.<eHR Number></p>	M if [File Indicator] = 1 N/A if [File Indicator] = 0		N/A
42	Report identifier	Internal report identifier	string(20)		O		N/A
43	Referral remark	The additional information about the referral / reply record	string(500)		O		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
44	Referral document issuance - healthcare specialty identifier	[eHR value] of the "Specialty" code table. It is the healthcare specialty of the healthcare staff who issued the referral / reply record.	string(10)		O		N/A
45	Referral document issuance - healthcare specialty description	[eHR description] of the "Specialty" code table. It is the healthcare specialty of the healthcare staff who issued the referral / reply record. It should be the corresponding description of the selected [Referral document issuance - healthcare specialty identifier].	string(255)		M if [Referral document issuance - healthcare specialty identifier] is given N/A if [Referral document issuance - healthcare specialty identifier] is blank		N/A
46	Referral document issuance - healthcare specialty local description	Local description for the healthcare specialty of the healthcare staff who issued the referral / reply record	string(255)		M if [Referral document issuance - healthcare specialty identifier] is given N/A if [Referral document issuance - healthcare specialty identifier] is blank		N/A
47	Referral document recipient - healthcare specialty identifier	[eHR value] of the "Specialty" code table. It is the healthcare specialty of the healthcare staff to whom the referral / reply record is sent.	string(10)		O		N/A

Sequence	Data Field	Definition	Maximum Length	Notes	Mandatory (M)/ Optional (O)/ Not Applicable (N/A – Data field should not be submitted)		
					Level 1		
					S1 (New)	S2 (Override)	S3 (Delete)
48	Referral document recipient - healthcare specialty description	[eHR description] of the "Specialty" code table. It is the healthcare specialty of the healthcare staff to whom the referral / reply record is sent. It should be the corresponding description of the selected [Referral document recipient - healthcare specialty identifier].	string(255)		M if [Referral document recipient - healthcare specialty identifier] is given N/A if [Referral document recipient - healthcare specialty identifier] is blank		N/A
49	Referral document recipient - healthcare specialty local description	Local description for the healthcare specialty of the healthcare staff to whom the referral / reply record is sent.	string(255)		M if [Referral document recipient - healthcare specialty identifier] is given N/A if [Referral document recipient - healthcare specialty identifier] is blank		N/A
File Trailer							
1	EOF	File trailer indicator	string(3)	Fixed value	M		
2	Total number of records	Total number of records in this batch being processed excluding the trailer	string(10)	Numeric value: 0-9999999999	M		
3	File name of data file	File name of data file	string(83)	Please refer to Section 10.1 - File Name for naming convention of data file file name.	M		

11 IMAGE HANDLING

In all eHR sharable dataset, image file or plain text will be accepted in all level of data interoperability. As the file naming convention is different among institutes, the files should be renamed in standardised format.

11.1 ASSUMPTIONS

Image file will be sent to eHR after the structured data.

11.2 FILE NAME

Format

With file extension,

<HCP ID>.<Sending Location Code>.<Record Type>.<Record Key>.<Original File Name>.<File Extension>.<eHR Number>.<Generation Date>

Example

e.g 8088450656.BRANCHA.REF.PWH019999.123.pdf.201000000001.20110702084530

Naming Convention

1. The file name should be in capital letters.
2. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).
3. The value of each file name component should not contain dot “.”.
4. If the *<Sending Location code>* cannot be provided, its value can be set as same as *<HCP ID>*.
5. The value of the *<Sending Location Code>* , *<Record Key>* and *<Original File Name>* can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-_]

The following table shows the components of file name and the respective definitions:

Sequence	Component	Definition	Maximum Length	Remarks
1	HCP ID	A unique identifier assigned by eHR Healthcare Provider Index to each healthcare institution for participation in eHR Sharing System	string(10)	
2	Sending Location Code	A code to indicate the location where the data is sending from. The format should be agreed before the interface is on production.	string(20)	
3	Record Type	A standardised short term to distinguish the sharable dataset	string(20)	e.g. REF stands for Referral Record. Please refer to the Interoperability Guide for details.
4	Generation Date	File generation date	string(14)	<ul style="list-style-type: none">• In format: YYYYMMDDhhmmss• Fixed length
5	Record Key	The key to identify and map the structured data record	string(50)	
6	Original File Name	The file name used in source institution	string(100)	
7	File Extension	pdf (Portable Document Format File)	string(3)	
8	eHR Number	A unique eHR healthcare recipient identifier assigned to each patient for each participation in the Hong Kong eHR	string(12)	Fixed length

12 FILE NAME SAMPLES

The following provides some file name samples for different file upload modes:

Sample Values

Component	Sample Value	Full Form
HCP ID	8088450656	Hospital Authority
Sending Location Code	BRANCHA	Branch A of HCP
	BRANCHB	Branch B of HCP
	GATEWAY1	Gateway 1 system of HCP
	GATEWAY2	Gateway 2 system of HCP

The following table lists examples of HCR list file name and data file name, for each file upload mode:

	HCR List File	Data File	Image (if applicable)
Incremental Mode	8088450656.BRANCHA.REF.PL.1.20110702084530	8088450656.BRANCHA.REF.DF.1.20110702084530	8088450656.BRANCHA.REF.PWH019999.123.pdf.2010000000001.20110702084530
Materialisation Mode	8088450656.BRANCHA.REF.PL.2.20110702084530	8088450656.BRANCHA.REF.DF.2.20110702084530	8088450656.BRANCHA.REF.PWH019999.123.pdf.2010000000001.20110702084530