

# BLS Technical Interface Specification For eHR Allergy Record

Version 1.4.0

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# **DOCUMENT SUMMARY**

| <b>Document Item</b>   | Current Value                                      |
|------------------------|--|
| Document Title         | BLS Technical Interface Specification For eHR      |
|                        | Allergy Record                                     |
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| Document Description   | The document describes the interface specification |
|                        | for bulk upload standards of eHR Allergy Record    |
|                        | for Hong Kong Special Administrative Region        |
|                        | eHR. The document should be read in conjunction    |
|                        | with other related documents suggested by the      |
|                        | eHR Information Standards Office.                  |
| Prepared by            | eHR Information Standards Office                   |
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# **AMENDMENT HISTORY**

| Version No. | Summary of Changes   | Date        |  |  |  |  |
|-------------|--|-------------|--|--|--|--|
| 1.0.0       | Original version   | 28 Jun 2012 |  |  |  |  |
| 1.1.0       | Enhanced according to the -dataset as of Feb 2013 defined by eHR Information Standards Office  | 13 Mar 2013 |  |  |  |  |
| 1.2.0       | Updated the definition of data fields: 'Record creation institution name' and 'Record update institution name'   |             |  |  |  |  |
|             | Removed SNOMED CT from recognised terminology sets   |             |  |  |  |  |
|             | Updated the code table name from 'Recognised terminology name - pharmaceutical product' to 'Recognised terminology name - pharmaceutical product or substance'   |             |  |  |  |  |
|             | <ul> <li>Updated the column 'Notes' of the following fields:</li> <li>Allergen - recognised terminology name</li> <li>Allergen identifier - recognised terminology</li> <li>Allergen description - recognised terminology</li> <li>Transaction Type</li> </ul> |             |  |  |  |  |
|             | Added remarks in section 7.1 Types of File Upload<br>Mode: Update' or 'Delete' transaction type is not<br>accepted in DM mode  |             |  |  |  |  |
|             | • Added section 8.3 XML Predefined Entities, update section reference in section 8 from 8.3.3 to 8.4.3   |             |  |  |  |  |
|             | <ul> <li>Updated checking rules in section 10.2:</li> <li><u>Delete allergen reason</u></li> <li>Insert and Update: N/A</li> <li>Delete: O</li> </ul>  |             |  |  |  |  |
|             | Last Update Datetime  o Changed from 'Optional' to 'Mandatory'   |             |  |  |  |  |
|             | <ul> <li>Aligned the terms used in eHR Sharing System (eHRSS) Bill (April 2014):</li> <li>Participant -&gt; Healthcare recipient</li> <li>Enroll -&gt; Register</li> <li>Rejoin -&gt; Re-register</li> </ul>   |             |  |  |  |  |
|             | • Updated the template of cover page and descriptions  |             |  |  |  |  |

| Version No. | Summary of Changes  | Date        |
|-------------|---|-------------|
|             | in footer   |             |
|             | <ul> <li>Updated the contents in section 'Intellectual<br/>Property Rights Notice'</li> </ul>   |             |
| 1.3.0       | • Jun 2015 Release  | 30 Jun 2015 |
| 1.4.0       | <ul> <li>Updated checking rules in section 10.2:</li> <li>Type of allergen code         <ul> <li>Changed from 'Mandatory' to 'Optional'</li> </ul> </li> <li>Type of allergen description         <ul> <li>Changed from 'Mandatory' to 'Mandatory if [Type of allergen code] is given' 'NA if [Type of allergen code] is blank'</li> </ul> </li> <li>Type of allergen local description         <ul> <li>Changed from 'Mandatory' to</li> </ul> </li> </ul> | 15 Sep 2016 |
|             | 'Mandatory if [Type of allergen code] is given' 'Optional if [Type of allergen code] is blank'  Allergen – recognised terminology name  O Changed from 'Conditional Mandatory' to 'Mandatory'  Allergen identifier – recognised terminology O Changed from 'Conditional Mandatory' to 'Mandatory'  Allergen description – recognised terminology O Changed from 'Conditional Mandatory' to 'Mandatory'  |             |

#### 1 PURPOSE

#### 1.1 OBJECTIVE

This document describes the technical interface requirements for implementing Health Level Seven (HL7) version 2.5 standards messaging for transferring Allergy record in bulk upload standards from trusted healthcare providers to eHR system.

There are TWO data exchange standards for uploading clinical records to eHR system:

- HL7-HK Message Standards
- HL7-HK Localised Bulk Load Standards

HL7-HK Localised Bulk Load Standards will be described in detail in this document. For the HL7-HK Message Standards, please refer to 'Technical Interface Specification for eHR Record'.

#### 1.2 INTENDED READERS

This document is intended for all parties involving the interface development of eHR in Hong Kong.

### 2 SCOPE

This reference defines the interface format, interface name for different upload mode and the message of the HL7 version 2.5 messaging. Specifically, this document contains:

- Data File Naming Convention
- Data File Content with Delimeter
- Data Definition and Mapping

The recognised terminology sets applied in Allergy Sharable Dataset include:

- Hong Kong Clinical Terminology Table (HKCTT)
- Registered of Pharmaceutical Products (RPP)

Remarks: Please refer the latest eHR supported version of the above recognized terminology sets.

This document is referring to the health data defined in the eHR sharable dataset domain Allergy mentioned in **eHR Content Standards Guidebook** in eHR Office website. It provides interpretation and guidance to which HL7 trigger event and data elements are required for interfacing to eHR system.

For details of scenarios, please refer to Data Requirement Specification for eHR Allergy Record.

# 3 REFERENCES

- Data Interface Requirement Document
  - o Data Requirement Specification for eHR Allergy Record
  - o Communication Protocol Specification
- eHR Information Standards Document
  - o eHR Content Standards Guidebook
  - o eHR Data Interoperability Standards
  - o eHR Contents
  - o eHR Codex

# 4 DEFINITIONS AND CONVENTIONS

# 4.1 ABBREVIATIONS

| Term    | Description   |
|---------|---|
| AL1     | Allergy   |
| CDR     | Clinical Data Repository                                |
| eHR     | eHealth Record  |
| eHR ISO | Electronic Health Record – Information Standards Office |
| EMR     | Electronic Medical Record                               |
| НСР     | Healthcare Provider                                     |
| HL7     | Health Level Seven                                      |
| ORU     | HL7 message type of "Unsolicited Observation Message"   |

# 4.2 NOTATIONS

| Value    | Description                                       |
|----------|---|
| "quoted" | Fixed Value                                       |
| #        | HL7 Mandatory Field                               |
| N/A      | Not Applicable                                    |
| S0 - S99 | Scenario numbering                                |
| RP/#     | Repeatable Indicator [Y:Yes N: No] of HL7 element |
| TBL#     | HL7 Table Reference Number                        |
| []       | Optional  |
| { }      | Repeatable  |
| YYYY     | Year  |
| MM       | Month   |
| DD       | Day   |
| hh       | Hour (24-Hour)                                    |
| mm       | Minute  |
| SS       | Second  |
| .sss     | Millisecond                                       |

### 5 ASSUMPTIONS

- HCP is responsible for ensuring the integrity, accuracy and completeness of structure data when sending data to eHR.
- It is recommended that HCP should send the updated clinical record to eHR as soon as possible when there are any changes or new records of the eHR healthcare recipient (HCR).
- To ensure the integrity of the Allergy record, the complete set of structured data should be sent for any amendment.
- If the HCR does not have any allergy record, HCP is not expected to upload any allergy data of the HCR to eHR.

# 6 DELIVERY REQUIREMENTS

- HL7 version 2.5 message standards in XML format will be implemented for delivering Allergy event messages defined by eHR.
- The sharable dataset domain 'Allergy' supports eHR Data Compliance Level 2 and 3 only. Before sending clinical record to eHR, Healthcare Provider (HCP) has to register which data compliance levels she can comply to.
- A complete set of updated 'Allergy' data with an unique record key of the record is
  expected to be uploaded to eHR. eHR will use the HCP unique record key for
  subsequence data amendments in eHR repository.
- HCP must make sure the data submitted to eHR is complied with the compliance levels she declared in the message. The detail definition of the Data Compliance Level is stated in eHR Content Standards Guidebook posted in eHR Office website.

# 7 DATA UPLOAD REQUIREMENTS

#### 7.1 TYPES OF FILE UPLOAD MODE

There are two types of file upload mode: incaremental mode and materialisation mode:

- 1. **Incremental mode** is the format for HCP to upload sharable data in ONE batch.
- 2. **Materialisation mode** is the format for HCP to upload a HCR's specific sharable dataset that exists in EMR, e.g. new registered HCR and re-registered HCR.

The following table shows the files required for different upload mode and its schedule:

|                         | HCR List File | Data File | Schedule             |
|-------------------------|---------------|-----------|----------------------|
| <b>Daily Batch Mode</b> | Required      | Required  | Within agreed period |
| <b>Incremental Mode</b> | Required      | Required  | Within agreed period |

#### Remarks:

For Materialisation Mode, 'Update' and 'Delete' transaction types are not accepted. If 'Update' or 'Delete' transaction type is uploaded using materialisation mode, the record will be rejected by eHR.

#### 7.2 SHARABLE DATASET CODE

Sharable dataset code is a standardised short term to distinguish the sharable dataset. Please refer to the Interoperability Guide for details in eHR Office website.

For Allergy Record, the sharable dataset code is "**AL1**".

### 7.3 COMPLIANCE LEVEL

eHR partner's applications must be certified for three levels of inter-operability: data inter-operability, security compliance and system inter-operability. Data inter-operability will focus on the EMR system's capability to send and receive messages in the defined standards.

A partner's systems will be certified as a compliance level, according to the message structure, format, content and coding validity for the type of message. Only the certified types of interfaces of partner's systems are permitted for on-going information exchange with the eHR Core.

The general definition of data compliance level is explained in Content Guidebook in eHR Office website.

# 7.4 MESSAGE COMPONENTS

There are three main data file types used to carry the clinical information of 'Allergy' domain:

| File Type             | Usage   |
|-----------------------|---|
| HL7 Message (ORU^R01) | It serves as delivery list which records the list of file names of 'HCR List' and 'Structure Data File'.  |
| HCR List              | It contains the HCR identity of those HCRs whose clinical data records are updated and already included in the 'Structure Data File'.   |
| Structure Data File   | It contains the eHR required data fields defined in the 'Data Requirement Specification for eHR Allergy Record'. The data mapping format must follow the requirements described in this document. |

The details of the above file types will be further explained in subsequent sections.

#### 8 HL7 MESSAGE

HL7 message 'ORU^R01' will be applied in exchanging of eHR clinical records. In the segment of OBX of 'ORU^R01', OBX.4 in HL7 message is used to indicate the file upload mode, whether it is in incremental and materialisation.

- The major components are used to carry the bulk clinical information when exchanging data in HL7 v2.5 standard. The components are:
  - HL7 version 2.5 ORU Unsolicited Observation Message (Event R01):

ORU^R01 event includes 3 mandatory segments

- MSH Message Header Segment
- OBR Observation Request Segment
- OBX Observation related to OBRs
- The file upload mode will be assigned to the fourth field of OBX. For the <OBX.4> tag, the fields can either be "BL" and "BL-M", which represents whether it is in incremental or materialisation. For the data mapping of OBX in HL7 message, please refer to Section 8.4.3 OBX Observation/Result Segment.
- The batch file name will be assigned to the <OBX.5> tag. The detail will be described in following section.
- XML digital signature:

In order to ensure the integrity, reputation and authenticity of the message exchange, a XML digital signature is required to digitally sign the whole HL7 document. The eHR system will not accept messages that are not digitally signed.

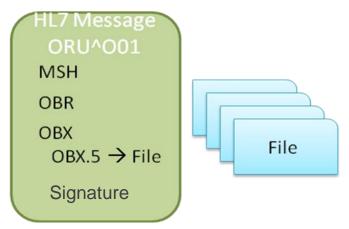


Figure 1 describes the overview structure of BLS in HL7 standards. (Please refer to HL7 official website for HL7 standards details.)

### 8.1 FILE NAME

The naming convention of the file which is carrying the HL7 message is specified as below:

#### **Format**

With Sending Location Code,

<HCP ID>.<Sending Location code>.<Record Type>.HL7.<Message Control ID>

# **Example**

e.g. 8088450656.BRANCHA.Al1.HL7.20110701230000

## **Naming Convention**

- 1. The file name should be in capital letters.
- 2. The value of each file name component should not contain dot "."
- 3. Message Control ID refers to the value MSH.10.
- 4. If the *Sending Location code* cannot be provided, its value can be set as same as *HCP ID*.
- 5. The value of the *Sending Location code*> can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-\_]

### 8.2 CHARACTER SET AND ENCODING

A Unicode Transformation Format (UTF) is an algorithmic mapping from every Unicode code point to a unique byte sequence. Among the several UTF scheme, UTF-8 is the most common Unicode encoding used and it has become the main storage encoding on most Unix-like operating systems since it is a relatively easy replacement of traditional extended ASCII character sets.

Therefore, UTF-8 will be used in eHR Clinical Data Sharing data exchange. HCP is required to ensure the file that sent to eHR should use UTF-8 encoding.

# 8.3 XML PREDEFINED ENTITIES

Extensible Markup Language (XML) is adopted in eHR Clinical Data Sharing data exchange using HL7 messages. The XML specification defines five "predefined entities" representing special characters, and requires that all XML processors honor them. To render the character, the format &name; must be used. For example, & renders as the character & . The table below lists the 5 predefined entities in XML:

| Name | Character | <b>Entity Reference</b> | Description    |
|------|-----------|-------------------------|----------------|
| gt   | >         | >                       | Greater than   |
| 1t   | <         | <                       | Less than      |
| amp  | &         | &                       | Ampersand      |
| apos | 6         | '                       | Apostrophe     |
| quot | cc        | "                       | Quotation mark |

The prefix of namespace in XML in HL7 message is not expected.

# 8.4 DATA MAPPING

# 8.4.1 MSH - MESSAGE HEADER SEGMENT

| Tag                                 | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                          | Fields                         | Remarks  |
|-------------------------------------|-----|---------------------|------|------|---------------------------------------|--------------------------------|--|
| # <msh.1></msh.1>                   | 1   | ST                  |      |      | Field Separator                       | درې                            | Fixed value  |
| # <msh.2></msh.2>                   | 4   | ST                  |      |      | Encoding Characters                   | "^~\&"                         | Fixed value  |
| <msh.3><br/><hd.1></hd.1></msh.3>   | 227 | HD                  |      | 0361 | Sending Application<br>Namespace ID   | System Version                 | HCP's system name and version for data exchange  |
| <msh.4><br/><hd.1></hd.1></msh.4>   | 227 | HD                  |      | 0362 | Sending Facility Namespace ID         | Healthcare Provider Identifier | A unique identifier<br>assigned by eHR<br>Healthcare Provider Index<br>to each healthcare<br>institution for<br>participation in eHR<br>Sharing System |
| <msh.5><br/><hd.1></hd.1></msh.5>   | 227 | HD                  |      | 0361 | Receiving Application<br>Namespace ID | "EIF"                          | Fixed value  |
| <msh.6><br/><hd.1></hd.1></msh.6>   | 227 | HD                  |      | 0362 | Receiving Facility<br>Namespace ID    | "eHR"                          | Fixed value  |
| # <msh.7><br/><ts.1></ts.1></msh.7> | 26  | TS<br>DTM           |      |      | Date/Time Of Message<br>Time          | Message generation datetime    | In format:   |
|                                     |     |                     |      |      |                                       |                                | YYYYMMDDhhmmss   |

| Tag                                     | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                    | Fields   | Remarks   |
|---|-----|---------------------|------|------|---------------------------------|--|---|
| <msh.8></msh.8>                         | 40  | ST                  |      |      | Security                        | Data Compliance Level e.g. 2                     | Possible value:<br>2: Level 2<br>3: Level 3                                   |
| # <msh.9><br/><msg.1></msg.1></msh.9>   | 15  | MSG                 |      |      | Message Type<br>Message Type    | "ORU"  | Fixed value   |
| <msg.2></msg.2>                         |     |                     |      |      | Trigger Event                   | "R01"  | Fixed value   |
| <msg.3></msg.3>                         |     |                     |      |      | Message Structure               | "ORU_R01"  | • Fixed value   |
| # <msh.10></msh.10>                     | 20  | ST                  |      |      | Message Control ID              | Unique message identifier in sending application | Values can be in any combination of alphanumeric characters i.e. [A-Z][0-9][] |
| # <msh.11><br/><pt.1></pt.1></msh.11>   | 3   | PT                  |      |      | Processing ID Processing ID     | "р"  | <ul><li>Fixed value</li><li>P: Production</li></ul>                           |
| # <msh.12><br/><vid.1></vid.1></msh.12> | 60  | VID                 |      |      | Version ID<br>Version ID        | "2.5"  | Fixed value   |
| <msh.13></msh.13>                       | 15  | NM                  |      |      | Sequence Number                 | NOT USE  |   |
| <msh.14></msh.14>                       | 180 | ST                  |      |      | Continuation Pointer            | NOT USE  |   |
| <msh.15></msh.15>                       | 2   | ID                  |      | 0155 | Accept Acknowledgment Type      | "NE"   | • Fixed value • NE: Never   |
| <msh.16></msh.16>                       | 2   | ID                  |      | 0155 | Application Acknowledgment Type | NOT USE  |   |

| Tag               | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                               | Fields  | Remarks |
|-------------------|-----|---------------------|------|------|--|---------|---------|
| <msh.17></msh.17> | 3   | ID                  |      | 0399 | Country Code                               | NOT USE |         |
| <msh.18></msh.18> | 16  | ID                  | Y    | 0211 | Character Set                              | NOT USE |         |
| <msh.19></msh.19> | 250 | CE                  |      |      | Principal Language Of Message              | NOT USE |         |
| <msh.20></msh.20> | 20  | ID                  |      | 0356 | Alternate Character Set Handling<br>Scheme | NOT USE |         |
| <msh.21></msh.21> | 427 | EI                  | Y    |      | Message Profile Identity                   | NOT USE |         |

# 8.4.2 OBR - OBSERVATION REQUEST SEGMENT

| Tag                                 | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                               | Fields  | Remarks   |
|-------------------------------------|-----|---------------------|------|------|--|---------|---|
| <obr.1></obr.1>                     | 4   | SI                  |      |      | Set ID – OBR                               | NOT USE |   |
| <obr.2></obr.2>                     | 22  | EI                  |      |      | Placer Order Number                        | NOT USE |   |
| <obr.3></obr.3>                     | 22  | EI                  |      |      | Filler Order Number                        | NOT USE |   |
| # <obr.4><br/><ce.1></ce.1></obr.4> | 250 | CE                  |      |      | Universal Service Identifier<br>Identifier | "AL1"   | Fixed value     Sharable Dataset Code (eHR Record Type) |
| <obr.5></obr.5>                     | 2   | ID                  |      |      | Priority – OBR                             | NOT USE |   |

| Tag               | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                  | Fields  | Remarks |
|-------------------|-----|---------------------|------|------|-------------------------------|---------|---------|
| <obr.6></obr.6>   | 26  | TS                  |      |      | Requested Date/Time           | NOT USE |         |
| <obr.7></obr.7>   | 26  | TS                  |      |      | Observation Date/Time #       | NOT USE |         |
| <obr.8></obr.8>   | 26  | TS                  |      |      | Observation End Date/Time #   | NOT USE |         |
| <obr.9></obr.9>   | 20  | CQ                  |      |      | Collection Volume *           | NOT USE |         |
| <obr.10></obr.10> | 250 | XCN                 | Y    |      | Collector Identifier *        | NOT USE |         |
| <obr.11></obr.11> | 1   | ID                  |      | 0065 | Specimen Action Code *        | NOT USE |         |
| <obr.12></obr.12> | 250 | CE                  |      |      | Danger Code                   | NOT USE |         |
| <obr.13></obr.13> | 300 | ST                  |      |      | Relevant Clinical Information | NOT USE |         |
| <obr.14></obr.14> | 26  | TS                  |      |      | Specimen Received Date/Time * | NOT USE |         |
| <obr.15></obr.15> | 300 | SPS                 |      |      | Specimen Source               | NOT USE |         |
| <obr.16></obr.16> | 250 | XCN                 | Y    |      | Ordering Provider             | NOT USE |         |
| <obr.17></obr.17> | 250 | XTN                 | Y/2  |      | Order Callback Phone          | NOT USE |         |
| <obr.18></obr.18> | 60  | ST                  |      |      | Placer Field 1                | NOT USE |         |
| <obr.19></obr.19> | 60  | ST                  |      |      | Placer Field 2                | NOT USE |         |
| <obr.20></obr.20> | 60  | ST                  |      |      | Filler Field 1 +              | NOT USE |         |

| Tag               | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                   | Fields  | Remarks |
|-------------------|-----|---------------------|------|------|--------------------------------|---------|---------|
| <obr.21></obr.21> | 60  | ST                  |      |      | Filler Field 2+                | NOT USE |         |
| <obr.22></obr.22> | 26  | TS                  |      |      | Results Rpt/Status Chng –      | NOT USE |         |
| <obr.23></obr.23> | 40  | MOC                 |      |      | Charge to Practice +           | NOT USE |         |
| <obr.24></obr.24> | 10  | ID                  |      | 0074 | Diagnostic Serv Sect ID        | NOT USE |         |
| <obr.25></obr.25> | 1   | ID                  |      | 0123 | Result Status +                | NOT USE |         |
| <obr.26></obr.26> | 400 | PRL                 |      |      | Parent Result +                | NOT USE |         |
| <obr.27></obr.27> | 200 | TQ                  | Y    |      | Quantity/Timing                | NOT USE |         |
| <obr.28></obr.28> | 250 | XCN                 | Y    |      | Result Copies To               | NOT USE |         |
| <obr.29></obr.29> | 200 | EIP                 |      |      | Parent                         | NOT USE |         |
| <obr.30></obr.30> | 20  | ID                  |      | 0124 | Transportation Mode            | NOT USE |         |
| <obr.31></obr.31> | 250 | СЕ                  | Y    |      | Reason for Study               | NOT USE |         |
| <obr.32></obr.32> | 200 | NDL                 |      |      | Principal Result Interpreter + | NOT USE |         |
| <obr.33></obr.33> | 200 | NDL                 | Y    |      | Assistant Result Interpreter + | NOT USE |         |
| <obr.34></obr.34> | 200 | NDL                 | Y    |      | Technician +                   | NOT USE |         |
| <obr.35></obr.35> | 200 | NDL                 | Y    |      | Transcriptionist +             | NOT USE |         |

| Tag               | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                                      | Fields  | Remarks |
|-------------------|-----|---------------------|------|------|---|---------|---------|
| <obr.36></obr.36> | 26  | TS                  |      |      | Scheduled Date/Time +                             | NOT USE |         |
| <obr.37></obr.37> | 4   | NM                  |      |      | Number of Sample Containers *                     | NOT USE |         |
| <obr.38></obr.38> | 250 | CE                  | Y    |      | Transport Logistics of Collected Sample *         | NOT USE |         |
| <obr.39></obr.39> | 250 | CE                  | Y    |      | Collector's Comment *                             | NOT USE |         |
| <obr.40></obr.40> | 250 | CE                  |      |      | Transport Arrangement<br>Responsibility           | NOT USE |         |
| <obr.41></obr.41> | 30  | ID                  |      | 0224 | Transport Arranged                                | NOT USE |         |
| <obr.42></obr.42> | 1   | ID                  |      | 0225 | Escort Required                                   | NOT USE |         |
| <obr.43></obr.43> | 250 | CE                  | Y    |      | Planned Patient Transport Comment                 | NOT USE |         |
| <obr.44></obr.44> | 250 | CE                  |      | 0088 | Procedure Code                                    | NOT USE |         |
| <obr.45></obr.45> | 250 | CE                  | Y    | 0340 | Procedure Code Modifier                           | NOT USE |         |
| <obr.46></obr.46> | 250 | СЕ                  | Y    | 0411 | Placer Supplemental Service<br>Information        | NOT USE |         |
| <obr.47></obr.47> | 250 | СЕ                  | Y    | 0411 | Filler Supplemental Service<br>Information        | NOT USE |         |
| <obr.48></obr.48> | 250 | CWE                 |      | 0476 | Medically Necessary Duplicate<br>Procedure Reason | NOT USE |         |

| Tag               | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name    | Fields  | Remarks |
|-------------------|-----|---------------------|------|------|-----------------|---------|---------|
| <obr.49></obr.49> | 2   | IS                  |      | 0507 | Result Handling | NOT USE |         |

# 8.4.3 OBX - OBSERVATION/RESULT SEGMENT

| Tag                                 | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                         | Fields  | Remarks  |
|-------------------------------------|-----|---------------------|------|------|--------------------------------------|---------|--|
| <obx.1></obx.1>                     | 4   | SI                  |      |      | Set ID – OBX                         | NOT USE |  |
| <obx.2></obx.2>                     | 2   | ID                  |      | 0125 | Value Type                           | "RP"    | <ul><li>Fixed value</li><li>RP: Reference Pointer</li></ul>  |
| # <obx.3><br/><ce.1></ce.1></obx.3> | 250 | CE                  |      |      | Observation Identifier<br>Identifier | "AL1"   | <ul> <li>Fixed value</li> <li>Sharable Dataset Code<br/>(eHR Record Type)</li> </ul>   |
| <obx.4></obx.4>                     | 20  | ST                  |      |      | Observation Sub-Id                   | e.g. BL | Possible value of data upload format:  BL: Bulk load;  BL-M: Bulk load for materalisation  Remarks: Materialisation - HCP upload a HCR's specific sharable dataset that exists in EMR. |

| Tag                               | Len   | HL7<br>Data<br>Type | RP/# | TBL# | Element Name           | Fields   | Remarks   |
|-----------------------------------|-------|---------------------|------|------|------------------------|--|---|
| <obx.5><br/><rp.1></rp.1></obx.5> | 99999 | Varies              | Y    |      | Observation Value Data | Filename of the batch file:checksum  (Please refer to Section 10 – File Name Samples for examples of filename) | Colon ":" is used as field delimiter.  Filename of three types of files will be included: - HCR list file - Structured data file  For filename of the batch file, please see the file format in the related section. Repeat OBX.5 if more than one batch file.  For data file checksum value, the checksum algorithm will use SHA-256.  For SHA standard document, please refer to "Secure Hash Standard (SHS) of Federal Information Processing Standards Publication" provided by Information Technology Laboratory of National Institute of Standards and Technology in Gaithersburg (MD 20899-8900) |

| Tag                 | Len | HL7<br>Data<br>Type | RP/# | TBL# | Element Name                      | Fields  | Remarks   |
|---------------------|-----|---------------------|------|------|-----------------------------------|---------|---|
| <obx.6></obx.6>     | 250 | СЕ                  |      |      | Units                             | NOT USE |   |
| <obx.7></obx.7>     | 60  | ST                  |      |      | References Range                  | NOT USE |   |
| <obx.8></obx.8>     | 5   | IS                  | Y    | 0078 | Abnormal Flags                    | NOT USE |   |
| <obx.9></obx.9>     | 5   | NM                  |      |      | Probability                       | NOT USE |   |
| <obx.10></obx.10>   | 2   | ID                  | Y    | 0080 | Nature of Abnormal Test           | NOT USE |   |
| # <obx.11></obx.11> | 1   | ID                  |      | 0085 | Observation Result Status         | "F"     | <ul><li>Fixed value</li><li>F: Final Result</li></ul> |
| <obx.12></obx.12>   | 26  | TS                  |      |      | Effective Date of Reference Range | NOT USE |   |
| <obx.13></obx.13>   | 20  | ST                  |      |      | User Defined Access Checks        | NOT USE |   |
| <obx.14></obx.14>   | 26  | TS                  |      |      | Date/Time of the Observation      | NOT USE |   |
| <obx.15></obx.15>   | 250 | CE                  |      |      | Producer's ID                     | NOT USE |   |
| <obx.16></obx.16>   | 250 | XCN                 | Y    |      | Responsible Observer              | NOT USE |   |
| <obx.17></obx.17>   | 250 | СЕ                  | Y    |      | Observation Method                | NOT USE |   |
| <obx.18></obx.18>   | 22  | EI                  | Y    |      | Equipment Instance Identifier     | NOT USE |   |
| <obx.19></obx.19>   | 26  | TS                  |      |      | Date/Time of the Analysis         | NOT USE |   |

# 8.5 HL7 MESSAGE SAMPLE

The following HL7 sample in XML format shows data materialisaion case:

```
<?xml version="1.0" encoding="UTF-8"?>
<ORU R01 xsi:schemaLocation="urn:hl7-org:v2xml ORU R01.xsd"</pre>
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns="urn:h17-
org:v2xml">
      <MSH>
             <MSH.1>|</MSH.1>
             {MSH.2}^{\sim} \& </MSH.2>
             <MSH.3>
                   <HD.1>CMS 3.0</HD.1>
             </MSH.3>
             <MSH.4>
                   <hd><hd.1>8088450656</hd.1>
             </MSH.4>
             <MSH.5>
                   <hd>.1>EIF</hd.1>
             </MSH.5>
             <MSH.6>
                   <hd><hd.1>eHR</hd.1>
             </MSH.6>
             <MSH.7>
                   <TS.1>20120301230001</TS.1>
             </MSH.7>
             <MSH.8>2</MSH.8>
             <MSH.9>
                   <MSG.1>ORU</MSG.1>
                   <MSG.2>R01</MSG.2>
                   <MSG.3>ORU R01</MSG.3>
             </MSH.9>
             <MSH.10>20120301230001/MSH.10>
             <MSH.11>
                   <PT.1>P</PT.1>
             </MSH.11>
             <MSH.12>
                   <VID.1>2.5</VID.1>
             </MSH.12>
             <MSH.15>NE</MSH.15>
      </MSH>
```

```
<ORU R01.PATIENT RESULT>
            <ORU R01.ORDER OBSERVATION>
                  <OBR>
                        <OBR.4>
                              <CE.1>AL1</CE.1>
                        </OBR.4>
                  </OBR>
                  <ORU R01.OBSERVATION>
                        <OBX>
                               <OBX.2>RP</OBX.2>
                               <OBX.3>
                                     <CE.1>AL1</CE.1>
                               </OBX.3>
                               <OBX.4>BL-M</OBX.4>
                               <OBX.5>
                                     <RP.1>
      8088450656.BRANCHA.AL1.DF.1.20110101020600:332be2c46e1a0a632610e
8bf63bde57851374c583aaf84b3769d7eb2d67f8bcc2b0c356c4972aa49c444860c3e0
0104b50d24907b86a6e3c6927e61bd3ecfc24
                                     </RP.1>
                               </obx.5>
                               <OBX.5>
                                     <RP.1>
      8088450656.BRANCHA.AL1.PL.1.20110101020600:dba2a0463da72f264677b
a6e83fb8eecdce1454e17cea6ec5dcf41a11f1a94e28bbbabbb11e3441de0da7ea741c
b175527fff41558062c9f0691c7c463a186b6
                                     </RP.1>
                               </obx.5>
                               <OBX.11>F</OBX.11>
                        </OBX>
                  </ORU R01.OBSERVATION>
            </ORU R01.ORDER OBSERVATION>
      </ORU R01.PATIENT_RESULT>
</ORU R01>
```

# 8.6 XML DIGITAL SIGNATURE ON HL7

XML digital signature is required the components of XML digital signature are listed below:

| No. | XML Tag                | XPath   | Attribute  | Element Name            | Mandatory (M) / Optional(O) | Remarks   |
|-----|------------------------|---|------------|-------------------------|-----------------------------|---|
| 1   | Signature              | Signature                                       |            | Signature               | М                           | Sign the HL7 message<br>(Please refer to "XML Signature Syntax<br>and Processing (Second Edition)"<br>provided by W3C Recommendation 10<br>June 2008) |
|     |                        |   | @xmlns     |                         | M                           | Fixed Value: "http://www.w3.org/2000/09/xmldsig#"   |
| 2   | SignedInfo             | Signature/SignedInfo                            |            | Signed Information      | M                           |   |
| 2.1 | CanonicalizationMethod | Signature/SignedInfo/<br>CanonicalizationMethod |            | Canonicalization Method | M                           |   |
|     |                        |   | @Algorithm | Algorithm               | M                           | Fixed Value: "http://www.w3.org/TR/2001/REC-xml-c14n-20010315"  |
| 2.2 | SignatureMethod        | Signature/SignedInfo/<br>SignatureMethod        |            | Signature Method        | M                           |   |
|     |                        |   | @Algorithm | Algorithm               | M                           | Fixed Value: "http://www.w3.org/2001/04/xmldsig-more#rsa-sha256"  |

| No.     | XML Tag      | XPath   | Attribute  | Element Name                                 | Mandatory (M) / Optional(O) | Remarks   |
|---------|--------------|---|------------|--|-----------------------------|---|
| 2.3     | Reference    | Signature/SignedInfo/<br>Reference                          |            | Reference element for the whole HL7 document | M                           |   |
|         |              |   | @ URI      | URI  | M                           | Fixed Value: "" (Empty String). Apply the signature to the whole HL7 document |
| 2.3.1   | Transforms   | Signature/SignedInfo/<br>Reference/Transforms               |            | Transforms                                   | М                           |   |
| 2.3.1.1 | Transform    | Signature/SignedInfo/<br>Reference/Transforms/<br>Transform |            | Transform                                    | M                           |   |
|         |              |   | @Algorithm | Algorithm                                    | М                           | Fixed Value: "http://www.w3.org/2000/09/xmldsig#e nveloped-signature"         |
| 2.3.2   | DigestMethod | Signature/SignedInfo/<br>Reference/DigestMethod             |            |  | М                           |   |
|         |              |   | @Algorithm | Algorithm                                    | М                           | Fixed Value: "http://www.w3.org/2001/04/xmlenc#s ha256"                       |
| 2.3.3   | DigestValue  | Signature/SignedInfo/<br>Reference/DigestValue              |            | Digest Value                                 | M                           | Message's Digest Value  |
|         |              |   |            |  |                             |   |

| No.   | XML Tag         | XPath  | Attribute | Element Name      | Mandatory (M) / Optional(O) | Remarks  |
|-------|-----------------|--|-----------|-------------------|-----------------------------|--|
| 3     | SignatureValue  | Signature/SignatureValue                           |           | Signature value   | M                           | Canonicalize and then calculate the SignatureValue over SignedInfo based on algorithms specified in SignedInfo as specified in XML Signature [XMLDSIG]   |
|       |                 |  |           |                   |                             |  |
| 4     | KeyInfo         | Signature/KeyInfo                                  |           | Key Info          | M                           |  |
| 4.1   | X509Data        | Signature/KeyInfo/<br>X509Data                     |           | X509 Data         | M                           |  |
| 4.1.1 | X509SubjectName | Signature/KeyInfo/<br>X509Data/<br>X509SubjectName |           | X509 Subject Name | M                           | Distinguished name (DN) that contains the information for both the owner or requestor of the certificate (called the Subject DN) and the CA that issues the certificate (called the Issuer DN) |
| 4.1.2 | X509Certificate | Signature/KeyInfo/<br>X509Data/<br>X509Certificate |           | Certificate       | M                           | base64-encoded [X509v3] certificate (Please refer to the content of X509Data in "XML Signature Syntax and Processing (Second Edition)" provided by W3C Recommendation 10 June 2008)            |

## **Example**

```
<?xml version="1.0" encoding="UTF-8"?>
<ORU R01 xmlns="..." xmlns:xsi="..." xsi:schemaLocation="...">
  <MSH>...</MSH>
 <ORU R01.PATIENT RESULT>
    <ORU R01.ORDER OBSERVATION>
      <OBR>...
                    </OBR>
     <ORU R01.OBSERVATION>
        <OBX>... </OBX>
     </ORU R01.OBSERVATION>
    </ORU R01.ORDER OBSERVATION>
  </ORU R01.PATIENT RESULT>
 <Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
    <SignedInfo>
     <CanonicalizationMethod Algorithm="http://www.w3.org/TR/2001/REC-xml-c14n-20010315"/>
     <SignatureMethod Algorithm="http://www.w3.org/2001/04/xmldsig-more#rsa-sha256"/>
      <Reference URI="">
        <Transforms>
          <Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature"/>
        </Transforms>
       <DigestMethod Algorithm="http://www.w3.org/2001/04/xmlenc#sha256"/>
                                                                                                       XML Digital
       <DigestValue>xxxxxx</DigestValue>
                                                                                                       Signature
      </Reference>
    </SignedInfo>
   <SignatureValue>xxxxxxxxxx</SignatureValue>
    <KeyInfo>
     <X509Data>
        <X509SubjectName>xxxxx</X509SubjectName>
        <X509Certificate>xxxxxxxxxx</X509Certificate>
     </X509Data>
    </KeyInfo>
  </Signature>
</ORU R01>
```

### 9 HEALTHCARE RECIPIENT LIST

When a HCP uploads the sharable data to eHR, it is assumed that a daily HCR identity list will be sent **for each sharable dataset** in advance. The HCR identity list consists of the HCR tidentity of those HCRs who have clinical data records changes.

There are four major keys: Document ID with Document Type, English Name, Sex and Date of Birth of the HCR which are mandatory. They are used to refer to information that can be uniquely identified as an individual. Therefore, four major keys are needed to verify and match the eHR number which is assigned to HCR when one registered to eHR program during the data upload and verification processing.

A HCR list file is required which contains the four major keys and eHR number for every data batch upload. To standardise the HCR list, the file name, content and trailer should be strictly controlled. Besides, the size of the file should not exceed to the maximum upload file size according to eHR Localised Bulk Load Standards Specification. The data file should be split into smaller files within the file size limit and Sequence ID could be used to specify each smaller file.

#### 9.1 FILE NAME

The naming convention of the file which is carrying the HCR List is specified as below:

#### **Format**

With Sending Location Code,

```
<HCP ID>.<Sending location code>.<Record Type>.PL.<Sequence ID>.<Generation Date>
```

#### Example

e.g. 8088450656.BRANCHA.AL1.PL.1.20110702084530

# **Naming Convention**

- 1. The file name should be in capital letters.
- 2. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).
- 3. The value of each file name component should not contain dot "."
- 4. If the *<Sending Location code>* cannot be provided, its value can be set as same as *<HCP ID>*.
- 5. The value of the *<Sending Location code>* can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-\_]

The following table shows the components of file name and the respective definitions:

| Sequence | Component                | Definition   | Maximum<br>Length | Remarks                             |
|----------|--------------------------|--|-------------------|-------------------------------------|
| 1        | HCP ID                   | A unique identifier assigned by<br>eHR Healthcare Provider Index<br>to each healthcare institution for<br>participation in eHR Sharing<br>System | string(10)        | Fixed length                        |
| 2        | Sending Location<br>Code | An code to indicate the location where the data is sending from. The format should be agreed before the interface is on production.              | string(20)        |                                     |
| 3        | Record Type              | A standardised short term to distinguish the sharable dataset  | string(20)        | e.g. AL1 stands for Allergy Record. |
| 4        | PL                       | HCR List   | string(2)         | Fixed value: PL                     |
| 5        | Sequence ID              | Sequence of the file generated in the same generation date   | string(3)         | In format: 1-999                    |
| 6        | Generation Date          | File generation date   | string(14)        | In format:<br>YYYYMMDDhhmmss        |

## 9.2 FILE CONTENT

# **Format**

<eHR Number>|<Sex>|<Date of Birth>|<HKIC Number>|<Type of Identity Document>|<Identity Document Number English Surname>|<eHR Given Name>|<English Full Name>\CR\

<eHR Number>|<Sex>|<Date of Birth>|<HKIC Number>|<Type of Identity
Document>|<Identity Document Number English Surname>|<eHR Given
Name>|<English Full Name>\CR\

EOF.<#Total Number of HCRs>.<File Name of HCR List>

# **Naming Convention**

For file content,

- 1. Each record should be on a new line. \CR\ should be used as record terminator.
- 2. Pipe line "|" should be used as field delimiter. If data content contains pipe line, pipe line should be replaced by \F\ before sending to eHR.
- 3. A trailer is required at the bottom of each data file. The convention is explained in the next paragraph.

For file trailer.

- 1. A trailer is required at the bottom of each file.
- 2. Dot "." should be used as field delimiter.
- 3. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).

The following table shows the components of file content and trailer and the respective definitions:

| Sequence     | Data Field | Definition  | Maximum Length | Remarks  |  |  |  |
|--------------|------------|---|----------------|--|--|--|--|
| File Content |            |   |                |  |  |  |  |
| 1            | eHR number | A unique eHR healthcare<br>recipient identifier assigned<br>to each patient for each<br>participation in the Hong<br>Kong eHR | string(12)     | Fixed length   |  |  |  |
| 2            | Sex        | [eHR value] of the "Sex" code table. It is used to identify the sex of the patient.   | string(1)      | Refer to the code set of "Sex" in eHR Office website |  |  |  |

| Sequence | Data Field                    | Definition  | <b>Maximum Length</b> | Remarks   |
|----------|-------------------------------|---|-----------------------|---|
| 3        | Date of birth                 | The patient's date of birth   | string(23)            | In format: YYYY-MM-DD hh:mm:ss.sss  Milliseconds should be in ".000" format  E.g. 2010-01-31 00:00:00.000  (Birth time is not required.)  Remarks:  If date is exact to 'Year' (e.g. 2010), the unknown month and day is suggested to be set as '01-01'  E.g. 2010-01-01 00:00:00.000  If date is exact to 'Month'(e.g. 2010-12), the unknown day is suggested to be set as '01' E.g. 2010-12-01 00:00:00.000 |
| 5        | HKIC number  Type of identity | The Hong Kong Identity Card number or the Registration Number printed on Hong Kong Birth Certificate (post-1981) issued by HKSAR Immigration Department, include the check digit  [eHR value] of the "Type of | string(12) string(6)  | Refer to the code set of  |
|          | document                      | identity document" code table. It is the type of patient's identity / travel document presented during registration / enrolment / update of the patient's identity / demographic data.                        |                       | "Type of identity<br>document" in eHR<br>Office website   |
| 6        | Identity document<br>number   | The document number of the [Type of identity document - patient]  | string(30)            |   |

| Sequence     | Data Field            | Definition  | Maximum Length | Remarks  |
|--------------|-----------------------|---|----------------|--|
| 7            | English surname       | Patient's surname in English  | string(40)     | Surname should be in uppercase letters.  Optional if [full name] is not blank  Mandatory if [full name] is blank   |
| 8            | English given name    | Patient's given name in English   | string(40)     | Given name should be in uppercase letters.  Optional if [full name] is not blank  Mandatory if [full name] is blank  |
| 9            | English full name     | Patient's full name in English  | string(100)    | Full name should be in uppercase letters.  In format: [Surname]+[,]+ 1 white space +[Given Name] e.g CHAN, TAI MAN  Optional if [English surname] and [English given name] are not blank  Mandatory if [English surname] and [English given name] are blank  * If patient has either English surname or given name stored in local EMR system, full name should be filled. |
| File Trailer |                       |   |                |  |
| 1            | EOF                   | File trailer indicator  | string(3)      | Fixed value  |
| 2            | Total number of HCRs  | Total number of records in this batch being processed excluding the trailer | string(10)     | Numeric value:<br>0-99999999999  |
| 3            | File name of HCR list | File name of HCR list   | string(83)     | Please refer to Section<br>9.1 - File Name for<br>naming convention of<br>HCR list file name.  |

# **Example**

# The following is a sample file of HCR list:

20100000001|M|2009-01-01 00:00:00.000|A1234563|ID|A1234563|CHAN|TAI MAN|CHAN, TAI MAN\CR\

20100000002|F|2001-01-01 00:00:00.000|A7654321|OC|10234567890|LEE|HO|LEE, HO\CR\

EOF.2.8088450656.BRANCHA.AL1.PL.1.20110702084530

### 10 STRUCTURED DATA FILE

Data loading will use a standardised file naming convention, data content and the trailer. With the standardised format, it takes less time and is easier to interpret the data.

For details of the implementation requirements for transferring clinical records, please refer the 'Communication Protocol Specification'.

### 10.1 FILE NAME

The naming convention of the file which is carrying the Structured Data File is specified as below:

## **Format**

With Sending Location Code, <HCP ID>.<Sending Location code>.<Record Type>.DF.<Sequence ID>. <Generation Date>

### **Example**

e.g. 8088450656.BRANCHA.AL1.DF.1.20110702084530

# **Naming Convention**

- 1. The file name should be in capital letters.
- 2. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).
- 3. The value of each file name component should not contain dot "."
- 4. If the **Sending Location code**> cannot be provided, its value can be set as same as **HCP ID**>.
- 5. The value of the *Sending Location code*> can be in any combination of alphanumeric characters i.e. [A-Z][0-9][-\_]

The following table shows the components of file name and the respective definitions:

| Sequence | Component                | Definition   | Maximum<br>Length | Remarks                                |
|----------|--------------------------|--|-------------------|--|
| 1        | HCP ID                   | A unique identifier assigned by<br>eHR Healthcare Provider Index<br>to each healthcare institution for<br>participation in eHR Sharing<br>System | string(10)        | Fixed length                           |
| 2        | Sending Location<br>Code | An code to indicate the location where the data is sent from. The format should be agreed before the interface is on production.                 | string(20)        |  |
| 3        | Record Type              | A standardised short term to distinguish the sharable dataset  | string(20)        | e.g. AL1 stands for Allergy<br>Record. |
| 4        | DF                       | Data File  | string(2)         | Fixed value: DF                        |
| 5        | Sequence ID              | Sequence of the file generated in the same generation date   | string(3)         | In format:: 1-999                      |
| 6        | Generation Date          | File generation date   | string(14)        | In format:<br>YYYYMMDDhhmmss           |

### 10.2 FILE CONTENT

## **Format**

<eHR Number>|<Transaction Datetime>|<Transaction Type>|< Last Update
Datetime>|<Record Key>|field 1|field 2|field 3|...|field n\CR\
<eHR Number>|<Transaction Datetime>|<Transaction Type>|< Last Update
Datetime>|<Record Key>|field 1|field 2|field 3|...|field n\CR\
EOF.<#Total Number of Records>.<File Name of Data File>

## **Naming Convention**

For file content,

- 1. Each record should be on a new line. \CR\ should be used as record terminator.
- 2. Pipe line "|" should be used as field delimiter. If data content contains pipe line, pipe line should be replaced by \F\ before sending to eHR.
- 3. A trailer is required at the bottom of each data file. The convention is explained in the next paragraph.

#### For file trailer,

- 1. A trailer is required at the bottom of each file.
- 2. Dot "." should be used as field delimiter.
- 3. Generation date provided in the file name should be in YYYYMMDDhhmmss format (YYYY:year; MM:month; DD:day; hh:hour; mm:minute; ss:second).

# **Data Component**

The following table shows the components of file content and trailer and the cardinality for each compliance level:

| S <sub>2</sub> |                      |   | Maximum           |   | Not A       |                  | ndatory (M<br>V/A – Data f | _           | (O)/<br>l not be submi                           | itted)         |
|----------------|----------------------|---|-------------------|---|-------------|------------------|----------------------------|-------------|--|----------------|
| Sequence       | Data Field           | Definition  | Maximum<br>Length | Notes   |             | Level 2          |                            |             | Level 3  |                |
| ce             |                      |   |                   |   | S1<br>(New) | S2<br>(Override) | S3<br>(Delete)             | S1<br>(New) | S2<br>(Override)                                 | S3<br>(Delete) |
| File (         | Content              |   | <del></del>       |   |             |                  |                            |             | <del>-                                    </del> |                |
| 1              | eHR number           | A unique eHR healthcare recipient identifier assigned to each patient for each participation in the Hong Kong eHR | string(12)        | Fixed length  | M           |                  |                            | M           |  |                |
| 2              | Transaction datetime | The datetime indicates the transaction sequence   | string(23)        | In format:<br>YYYY-MM-DD<br>hh:mm:ss.sss<br>e.g. 2010-01-31<br>16:30:05.005   |             | M                |                            | M           |  |                |
| 3              | Transaction type     | Insert/Update/Delete  | string(1)         | Possible value: I: Insert operation U: Update operation D: Delete operation  Remarks: 'U' and 'D' are not accepted in materialisation mode. |             | M                |                            |             | M  |                |

| Se       |  |   | Maximum           |   | Not A       |                  | ndatory (M <sub>.</sub><br>I/A – Data f | _           | (O)/<br>not be submi | tted)          |
|----------|--|---|-------------------|---|-------------|------------------|---|-------------|----------------------|----------------|
| Sequence | Data Field                             | Definition  | Maximum<br>Length | Notes   |             | Level 2          |   |             | Level 3              |                |
| ice      |  |   | Ü                 |   | S1<br>(New) | S2<br>(Override) | S3<br>(Delete)                          | S1<br>(New) | S2<br>(Override)     | S3<br>(Delete) |
| 4        | Last update datetime                   | The last update datetime for HCP system   | string(23)        | In format:<br>YYYY-MM-DD<br>hh:mm:ss.sss<br>e.g. 2010-01-31<br>16:30:05.005 |             | М                |   |             | М                    |                |
| 5        | Record key                             | A unique identifier for each<br>Allergy record within HCP   | string(50)        |   |             | M                |   |             | M                    |                |
| 6        | Record creation datetime               | Datetime when the record<br>was created in source system<br>of HCP  | string(23)        | In format:<br>YYYY-MM-DD<br>hh:mm:ss.sss<br>e.g. 2010-01-31<br>16:30:05.005 |             | O                | N/A                                     |             | 0                    | N/A            |
| 7        | Record creation institution identifier | A unique identifier assigned<br>by eHR Healthcare Provider<br>Index to each healthcare<br>institution who created the<br>record | string(10)        | Fixed length  |             | O                | N/A                                     |             | 0                    | N/A            |
| 8        | Record creation institution name       | Name of healthcare institution who created the record   | string(255)       |   |             | О                | N/A                                     |             | O                    | N/A            |

| Se       |                                      |  |                   | Notes   | Not A       |                  | ndatory (M)<br>N/A – Data f | _           | (O)/<br>not be subm | itted)         |
|----------|--------------------------------------|--|-------------------|---|-------------|------------------|-----------------------------|-------------|---------------------|----------------|
| Sequence | Data Field                           | Definition   | Maximum<br>Length | Notes   |             | Level 2          |                             |             | Level 3             |                |
| ıce      |                                      |  | 8                 |   | S1<br>(New) | S2<br>(Override) | S3<br>(Delete)              | S1<br>(New) | S2<br>(Override)    | S3<br>(Delete) |
| 9        | Record last update datetime          | Datetime when the record<br>was last updated in source<br>system of HCP  | string(23)        | In format:<br>YYYY-MM-DD<br>hh:mm:ss.sss<br>e.g. 2010-01-31<br>16:30:05.005 |             | O                | N/A                         |             | O                   | N/A            |
| 10       | Record update institution identifier | A unique identifier assigned<br>by eHR Healthcare Provider<br>Index to each healthcare<br>institution who updated the<br>record                      | string(10)        | Fixed length  |             | O                | N/A                         |             | O                   | N/A            |
| 11       | Record update institution name       | Name of healthcare institution who updated the record  | string(255)       |   |             | 0                | N/A                         |             | 0                   | N/A            |
| 12       | Episode number                       | A unique reference number assigned by the healthcare institution to an episode of care. The episode of care can be of inpatient or outpatient nature | string(20)        |   |             | 0                |                             |             | 0                   |                |
| 13       | Attendance institution identifier    | A unique identifier assigned<br>by eHR Healthcare Provider<br>Index to each healthcare<br>institution for participant<br>attendance                  | string(10)        |   |             | O                |                             |             | O                   |                |

| Se       |                                    |  | Maximum           |  | Not A       |                  | • •            | M)/ Optional (O)/<br>a field should not be submitted) |  |                |  |
|----------|------------------------------------|--|-------------------|--|-------------|------------------|----------------|---|--|----------------|--|
| Sequence | Data Field                         | Definition   | Maximum<br>Length | Notes  |             | Level 2          |                |   |  |                |  |
| ce       |                                    |  |                   |  | S1<br>(New) | S2<br>(Override) | S3<br>(Delete) | S1<br>(New)   | S2<br>(Override)                                       | S3<br>(Delete) |  |
| 14       | Type of allergen code              | [eHR value] of the "Type of<br>allergen" code table. Type of<br>allergen is to indicate<br>whether the allergen is drug<br>related or not.   | string(20)        | Refer to the code set<br>of "Type of allergen"<br>in eHR Office website                |             | N/A              |                |   | O  | N/A            |  |
| 15       | Type of allergen description       | [eHR description] of the "Type of allergen" code table. It should be the corresponding description of the selected [Type of allergen code]. Type of allergen is to indicate whether the allergen is drug related or not. | string(255)       | Refer to the code set<br>description of "Type<br>of allergen" in eHR<br>Office website |             | N/A              |                | code]   | of allergen<br>is given<br>[Type of<br>ode] is blank   | N/A            |  |
| 16       | Type of allergen local description | Local description created by<br>the healthcare provider for<br>reporting the type of<br>allergen. Type of allergen is<br>to indicate whether the<br>allergen is drug related or<br>not.                                  | string(255)       |  |             | O                | NA             | code] O if [Type                                      | e of allergen<br>is given<br>e of allergen<br>is blank | NA             |  |

| Ç        | g .   |         |  |            |  | Not A       |                  | •              | 1)/ Optional (O)/<br>field should not be submitted) |                  |                |         |  |
|----------|-------|---------|--|------------|--|-------------|------------------|----------------|---|------------------|----------------|---------|--|
| Seducies | Data  | a Field | Definition   | Length     | Maximum<br>Length  |             | Notes            |                | Level 2   |                  |                | Level 3 |  |
| 6        |       |         |  |            |  | S1<br>(New) | S2<br>(Override) | S3<br>(Delete) | S1<br>(New)   | S2<br>(Override) | S3<br>(Delete) |         |  |
| 177      | recog |         | Name of the recognised terminology set for the reported allergen | string(20) | Refer to the code set name of "Recognised terminology name - pharmaceutical product or substance" in eHR Office website  If eHR value = "HKCTT", allowable nature is "Pharmaceutical product" or "Substance" |             | N/A              |                |   | M                | N/A            |         |  |

| Se       |   |   |                   |   | Not A       |                  | ndatory (M<br>N/A – Data f | _           | (O)/<br>not be subm | itted)         |
|----------|---|---|-------------------|---|-------------|------------------|----------------------------|-------------|---------------------|----------------|
| Sequence | Data Field                                    | Definition  | Maximum<br>Length | Notes   |             | Level 2          |                            |             | Level 3             |                |
| Ice      |   |   | ð                 |   | S1<br>(New) | S2<br>(Override) | S3<br>(Delete)             | S1<br>(New) | S2<br>(Override)    | S3<br>(Delete) |
| 18       | Allergen identifier - recognised terminology  | Unique identifier in the recognised terminology for the reported allergen | string(20)        | Refer to the code set of "Recognised terminology name - pharmaceutical product or substance" in eHR Office website  [Allergen identifier - recognised terminology] should be included in the selected recognised terminology of the "Recognised terminology name - pharmaceutical product or substance" code table. |             | N/A              |                            |             | M                   | N/A            |
| 19       | Allergen description - recognised terminology | Description in the recognised terminology for the reported allergen       | string(2000)      | Refer to the code set description of "Recognised terminology name - pharmaceutical product or substance" in eHR Office website      [Allergen description]  |             | N/A              |                            |             | M                   | N/A            |

| Se       |                            |  | Maximum No.       |  | Not A       |                  | ndatory (M <sub>.</sub><br>I/A – Data f | _           | (O)/<br>not be submi | itted)         |
|----------|----------------------------|--|-------------------|--|-------------|------------------|---|-------------|----------------------|----------------|
| Sequence | Data Field                 | Definition   | Maximum<br>Length | Notes  | Level 2     |                  |   | Level 3     |                      |                |
| ıce      |                            |  | C                 |  | S1<br>(New) | S2<br>(Override) | S3<br>(Delete)                          | S1<br>(New) | S2<br>(Override)     | S3<br>(Delete) |
|          |                            |  |                   | - recognised terminology] should be matched with the corresponding description of the selected [Allergen identifier - recognised terminology]  • For HKCTT, use "eHR Description" or "Concept Full Description"  • For RPP, use "Product Name" |             |                  |   |             |                      |                |
| 20       | Allergen local code        | Local code created by the<br>healthcare provider for the<br>reported allergen        | string(20)        |  | ,           | 0                | N/A                                     |             | O                    | N/A            |
| 21       | Allergen local description | Local description created by<br>the healthcare provider for<br>the reported allergen | string(2000)      |  | 1           | М                | N/A                                     |             | M                    | N/A            |

| Se       |                                      |  | Maximum           |   | Not A       | Mai<br>Applicable (N | ndatory (M)<br>I/A – Data f | _                                     |  | itted)         |
|----------|--------------------------------------|--|-------------------|---|-------------|----------------------|-----------------------------|---------------------------------------|--|----------------|
| Sequence | Data Field                           | Definition   | Maximum<br>Length | Notes   |             | Level 2              |                             | Level 3                               |  |                |
| ce       |                                      |  |                   |   | S1<br>(New) | S2<br>(Override)     | S3<br>(Delete)              | S1<br>(New)                           | S2<br>(Override)   | S3<br>(Delete) |
| 22       | Level of certainty code              | [eHR value] of the "Allergy<br>level of certainty" code table<br>for identifying the level of<br>certainty of an allergen<br>which caused an allergic<br>reaction  | string(2)         | Refer to the code set<br>of "Allergy level of<br>certainty" in eHR<br>Office website                |             | N/A                  |                             |                                       | O  | N/A            |
| 23       | Level of certainty<br>description    | [eHR description] of the "Allergy level of certainty" code table for identifying the level of certainty of an allergen which caused an allergic reaction. It is the corresponding description of the selected [Level of certainty code]. | string(255)       | Refer to the code set<br>description of<br>"Allergy level of<br>certainty" in eHR<br>Office website | N/A         |                      |                             | certaint<br>gi<br>NA if [<br>certaint | Level of<br>y code] is<br>ven<br>Level of<br>y code] is<br>ank | N/A            |
| 24       | Level of certainty local description | Local description created by<br>the healthcare provider for<br>the level of certainty of an<br>allergen which caused an<br>allergic reaction   | string(255)       |   |             | O                    | N/A                         | certaint<br>gi<br>O if [I<br>certaint | Level of<br>y code] is<br>ven<br>Level of<br>y code] is<br>ank | N/A            |

| Se       |                                     |  | Maximum<br>Length |   | Not A       | Mar<br>Applicable (N | • •            | )/ Optional<br>field should |  | itted)         |
|----------|-------------------------------------|--|-------------------|---|-------------|----------------------|----------------|-----------------------------|--|----------------|
| Sequence | Data Field                          | Definition   |                   | Notes   |             | Level 2              |                |                             | Level 3  |                |
| ıce      |                                     |  | Ü                 |   | S1<br>(New) | S2<br>(Override)     | S3<br>(Delete) | S1<br>(New)                 | S2<br>(Override)                                     | S3<br>(Delete) |
| 25       | Allergic reaction code              | [eHR value] of the "Allergic reaction" code table which includes the common hypersensitivity response of the immune system to a substance, situations, or physical states  | string(2)         | Refer to the code set<br>of "Allergic reaction"<br>in eHR Office website                |             | N/A                  |                |                             | 0  | N/A            |
| 26       | Allergic reaction description       | [eHR description] of the "Allergic reaction" code table, which includes the common hypersensitivity response of the immune system to a substance, situations, or physical states. It should match with the selected [Allergic reaction code] | string(255)       | Refer to the code set<br>description of<br>"Allergic reaction" in<br>eHR Office website | N/A         |                      |                | code]:                      | gic reaction<br>is given<br>Allergic<br>de] is blank | N/A            |
| 27       | Allergic reaction local description | Local description created by<br>the healthcare provider for<br>the allergic reaction   | string(255)       |   | ,           | 0                    | N/A            | code]                       | gic reaction<br>is given<br>gic reaction<br>is blank | N/A            |

| Sec      |                           |   | Maximum           |  | Not A                     |  | • '            | )/ Optional<br>field should | (O)/<br>not be subm                                       | itted)         |
|----------|---------------------------|---|-------------------|--|---------------------------|--|----------------|-----------------------------|---|----------------|
| Sequence | Data Field                | Definition  | Maximum<br>Length | Notes  |                           | Level 2  |                | Level 3                     |   |                |
| ce       |                           |   | Ü                 |  | S1<br>(New)               | S2<br>(Override)                                     | S3<br>(Delete) | S1<br>(New)                 | S2<br>(Override)  | S3<br>(Delete) |
| 28       | Delete allergen<br>reason | Reason for deleting a reported allergen   | string(255)       |  | Remarks: i<br>allergen re | ason' is<br>n'Insert' or<br>scenario,<br>record will | 0              | Remarks: i                  | eason' is<br>in 'Insert' or<br>' scenario,<br>record will | O              |
| 29       | Allergen remark           | Additional information about the allergen   | string(255)       |  | (                         | O  | N/A            |                             | О   | N/A            |
| 30       | Allergy note              | The additional information about the allergy record                               | string(4000)      |  | (                         | O  | N/A            |                             | 0   | N/A            |
| File '   | Trailer                   |   |                   |  |                           |  |                |                             |   |                |
| 1        | EOF                       | File trailer indicator  | string(3)         | Fixed value  |                           | M  |                |                             | M   |                |
| 2        | Total number of records   | Total number of records in<br>this batch being processed<br>excluding the trailer | string(10)        | Numeric value:<br>0-9999999999   | М                         |  |                | М                           |   |                |
| 3        | File name of data file    | File name of data file  | string(83)        | Please refer to Section 10.2 - File Name for naming convention of data file file name. |                           | M  |                |                             | M   |                |

### **Example**

The following example is according the data requirements of the scenarios in 'Data Compliance Level 3'.

# Sample data file of S1 (New):

```
20100000001|2011-07-01 08:00:00.000|I||AL1RECKEY0001||||||||||Drug|
Drug allergen|Drug allergen|HKCTT|78507004|Penicillin G||Peni G|
|||||||||CR\
201000000002|2011-07-01 08:00:00.000|I||AL1RECKEY0002||||||||Drug|
Drug allergen|Drug allergen|HKCTT|78507004|Penicillin G||Peni G|
|||||||CR\
EOF.2.8088450656.BRANCHA.AL1.DF.1.20110702084530
```

### Sample data file of S2 (Override):

```
20100000001|2011-07-02 08:00:00.000|U||AL1RECKEY0001||||||||Drug|
Drug allergen|Drug allergen|CPP|56455|Penicillin G||Peni G|||||||
\CR\
201000000002|2011-07-02 08:00:00.000|U||AL1RECKEY0002||||||||Drug|
Drug allergen|Drug allergen|CPP|56455|Penicillin G||Peni G|||||||
\CR\
EOF.2.8088450656.BRANCHA.AL1.DF.1.20110702084530
```

# Sample data file of S3 (Delete):

# 11 FILE NAME SAMPLES

The following provides some file name samples for different file upload modes:

# **Sample Values**

| Component        | Sample Value | Full Form               |
|------------------|--------------|-------------------------|
| HCP ID           | 8088450656   | Hospital Authority      |
| Sending Location | BRANCHA      | Branch A of HCP         |
| Code             | BRANCHB      | Branch B of HCP         |
|                  | GATEWAY1     | Gateway 1 system of HCP |
|                  | GATEWAY2     | Gateway 2 system of HCP |

The following table lists examples of HCR list file name and data file name, for each file upload mode:

|                         | HCR List File           | Data File               |
|-------------------------|-------------------------|-------------------------|
| <b>Incremental Mode</b> | 8088450656.BRANCHA.AL1. | 8088450656.BRANCHA.AL1. |
|                         | PL.1.20110702084530     | DF.1.20110702084530     |
| Materialisation         | 8088450656.BRANCHA.AL1. | 8088450656.BRANCHA.AL1. |
| Mode                    | PL.2.20110702084530     | DF.2.20110702084530     |